

# ***Dyson-Schwinger Equations and Quantum Chromodynamics***

**Craig D. Roberts**

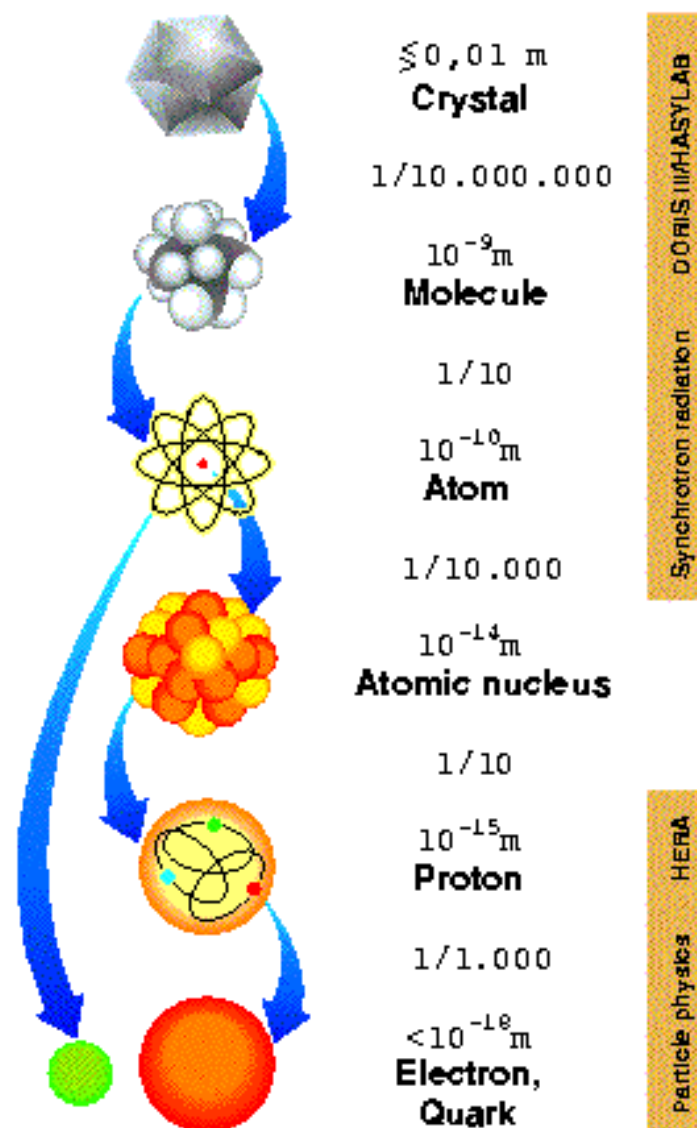
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**Physics Division**

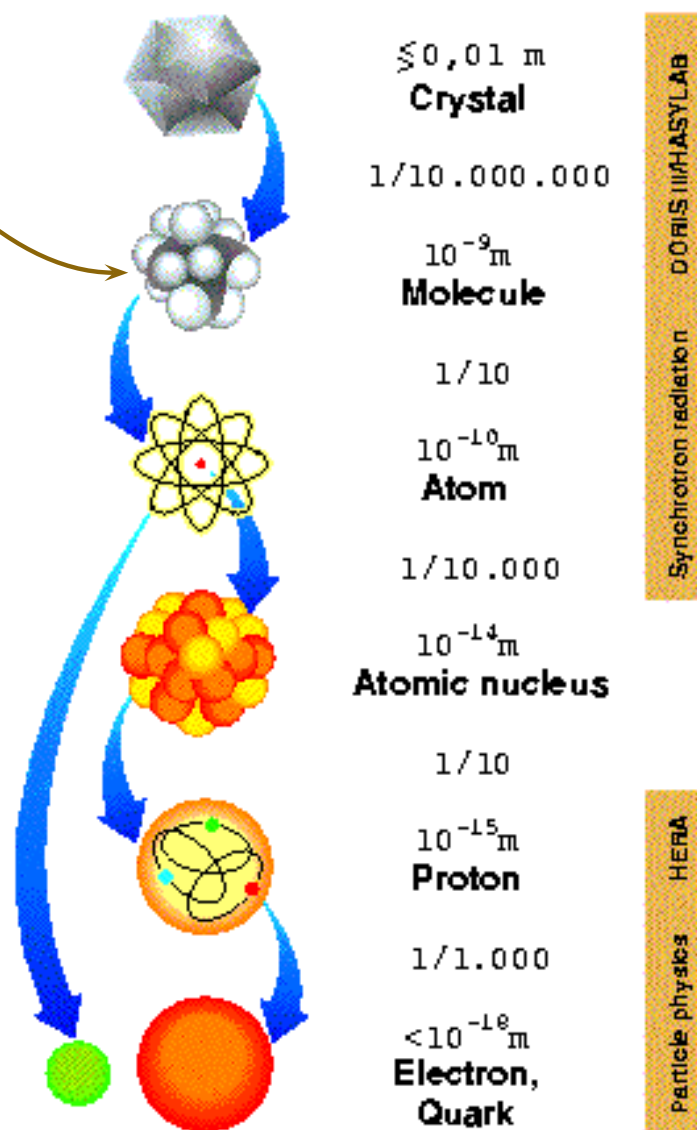
**Argonne National Laboratory**

**<http://www.phy.anl.gov/theory/staff/cdr.html>**

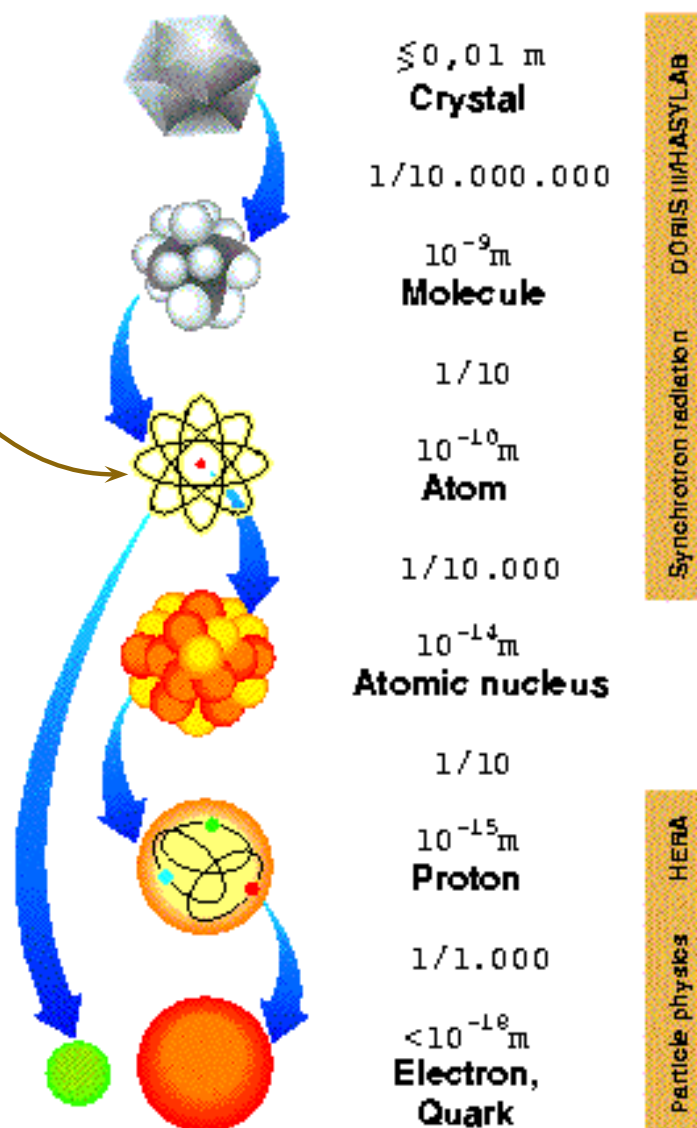
# Hadron Physics



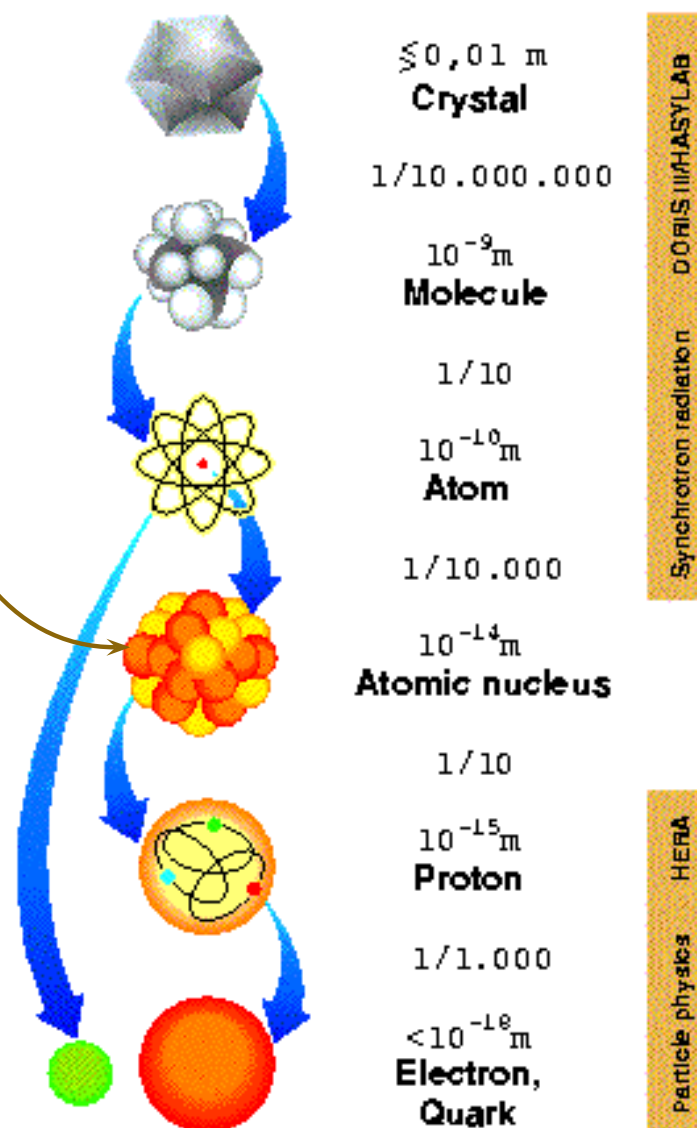
Molecular Physics  
Scale = nm



Atomic Physics  
Scale = Å

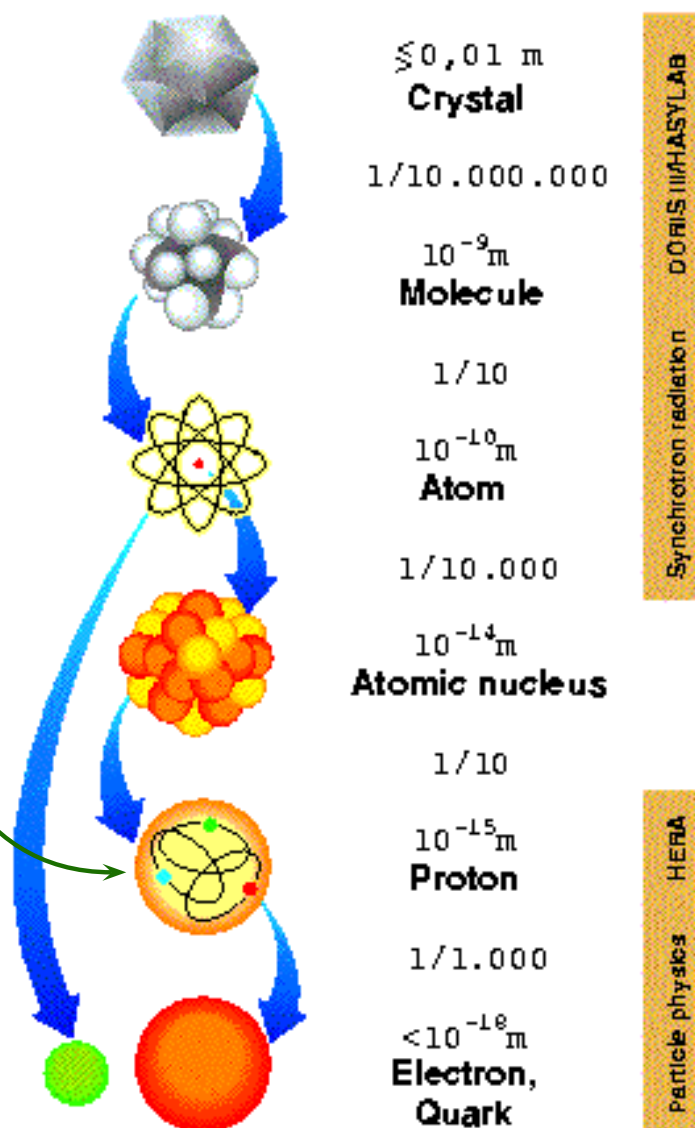


Nuclear Physics  
Scale = 10 fm



# Hadron Physics

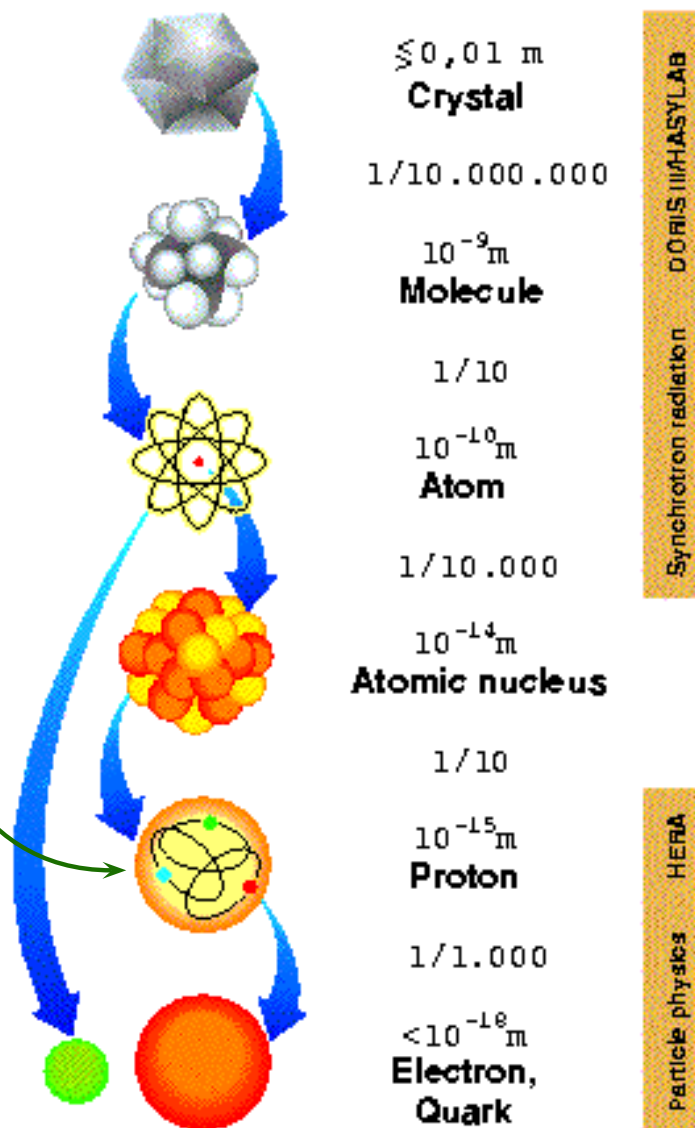
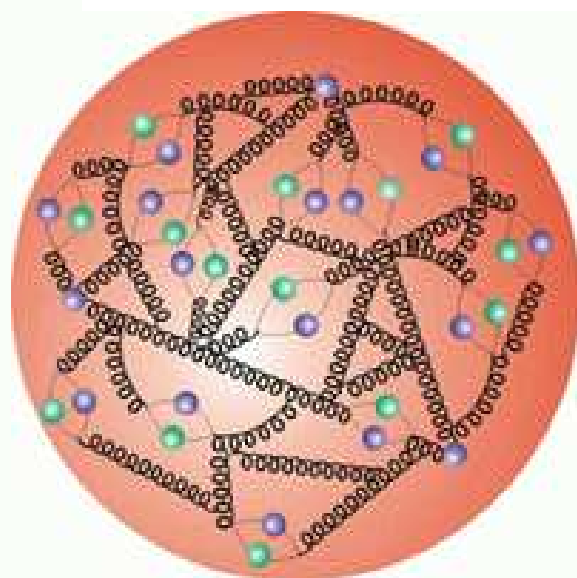
Hadron Physics  
Scale = 1 fm





# Hadron Physics

Hadron Physics  
Scale = 1 fm



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U.S. DEPARTMENT OF ENERGY

Office of Nuclear Physics  
Exploring Nuclear Matter • Quarks to Stars



**Argonne**  
NATIONAL  
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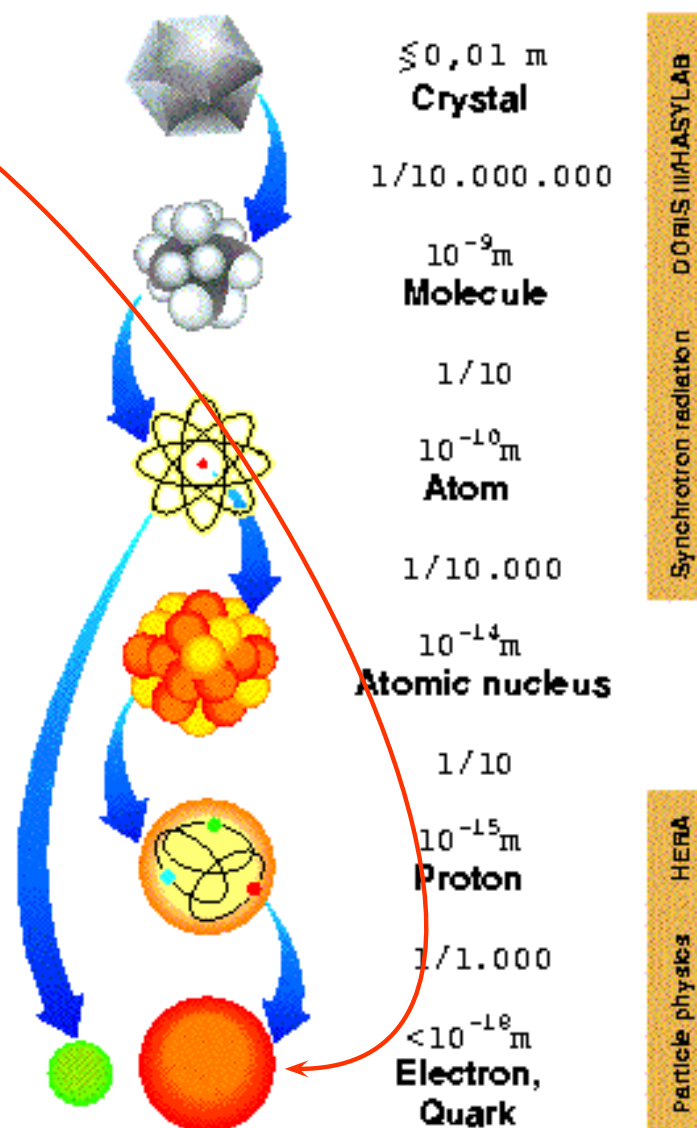
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# Hadron Physics

Meta-Physics  
Scale = Limited only  
by Theorists  
Imagination





# ***Nucleon ... 2 Key Hadrons***

## ***= Proton and Neutron***

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# *Nucleon ... 2 Key Hadrons*

## *= Proton and Neutron*

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- Fermions – two static properties:  
proton electric charge =  $+1$ ; and magnetic moment,  $\mu_p$



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## *= Proton and Neutron*

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  - Dirac (1928) – pointlike fermion:  $\mu_p = \frac{e\hbar}{2M}$



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# Nucleon ... 2 Key Hadrons

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- Stern (1933) –  $\mu_p = (1 + 1.79) \frac{e\hbar}{2M}$

- Big Hint that Proton is not a point particle
- Proton has constituents
- These are Quarks and Gluons

Quark discovery via  $e^- p$ -scattering at SLAC in 1968  
– the elementary quanta of Quantum Chromo-dynamics





- Action, in terms of local Lagrangian density:

$$S[A_\mu^a, \bar{q}, q] = \int d^4x \left\{ \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) + \frac{1}{2\xi} \partial_\mu A_\mu^a(x) \partial_\nu A_\nu^a(x) + \bar{q}(x) [\gamma_\mu D_\mu + M] q(x) \right\} \quad (1)$$

- Chromomagnetic Field Strength Tensor –

$$\partial_\mu A_\nu^a(x) - \partial_\nu A_\mu^a(x) + g f^{abc} A_\mu^b(x) A_\nu^c(x)$$

- Covariant Derivative –  $D_\mu = \partial_\mu - ig \frac{\lambda^a}{2} A_\mu^a(x)$

- Current-quark Mass matrix: 
$$\begin{pmatrix} m_u & 0 & 0 & \dots \\ 0 & m_d & 0 & \dots \\ 0 & 0 & m_s & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

- Understanding JLab Observables means knowing all that this Action predicts.
- Perturbation Theory (asymptotic freedom) is not enough!
  - Bound states are not perturbative
  - Confinement is not perturbative
  - DCSB is not perturbative



# Euclidean Metric

- Almost all nonperturbative studies in relativistic quantum field theory employ a Euclidean Metric. (NB. Remember the Wick Rotation?)
- It is possible to view the Euclidean formulation of a quantum field theory as **definitive**; e.g.,
  - Symanzik, K. (1963) in *Local Quantum Theory* (Academic, New York) edited by R. Jost.
  - Streater, R.F. and Wightman, A.S. (1980), *PCT, Spin and Statistics, and All That* (Addison-Wesley, Reading, Mass, 3rd edition).
  - Glimm, J. and Jaffe, A. (1981), *Quantum Physics. A Functional Point of View* (Springer-Verlag, New York).
  - Seiler, E. (1982), *Gauge Theories as a Problem of Constructive Quantum Theory and Statistical Mechanics* (Springer-Verlag, New York).
- That decision is crucial when a consideration of nonperturbative effects becomes important. In addition, the discrete lattice formulation in Euclidean space has allowed some progress to be made in attempting to answer existence questions for interacting gauge field theories.
  - A lattice formulation is impossible in Minkowski space – the integrand is not non-negative and hence does not provide a probability measure.



# Euclidean Metric: Transcription Formulae

- To make clear our conventions: for 4-vectors  $a, b$ :  $a \cdot b := a_\mu b_\nu \delta_{\mu\nu} := \sum_{i=1}^4 a_i b_i$ ,

Hence, a spacelike vector,  $Q_\mu$ , has  $Q^2 > 0$ .

- Dirac matrices:

- Hermitian and defined by the algebra  $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu}$ ;
- we use  $\gamma_5 := -\gamma_1\gamma_2\gamma_3\gamma_4$ , so that  $\text{tr}[\gamma_5\gamma_\mu\gamma_\nu\gamma_\rho\gamma_\sigma] = -4\varepsilon_{\mu\nu\rho\sigma}$ ,  $\varepsilon_{1234} = 1$ .
- The Dirac-like representation of these matrices is:

$$\vec{\gamma} = \begin{pmatrix} 0 & -i\vec{\tau} \\ i\vec{\tau} & 0 \end{pmatrix}, \quad \gamma_4 = \begin{pmatrix} \tau^0 & 0 \\ 0 & -\tau^0 \end{pmatrix}, \quad (2)$$

where the  $2 \times 2$  Pauli matrices are:

$$\tau^0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \tau^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \tau^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \tau^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (3)$$



# Euclidean Metric: Transcription Formulae

- It is possible to derive every equation introduced above assuming certain analytic properties of the integrands. However, the derivations can be sidestepped using the following *transcription rules*:

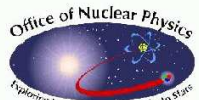
## Configuration Space

- $\int^M d^4x^M \rightarrow -i \int^E d^4x^E$
- $\not{\partial} \rightarrow i\gamma^E \cdot \partial^E$
- $\not{A} \rightarrow -i\gamma^E \cdot A^E$
- $A_\mu B^\mu \rightarrow -A^E \cdot B^E$
- $x^\mu \partial_\mu \rightarrow x^E \cdot \partial^E$

## Momentum Space

- $\int^M d^4k^M \rightarrow i \int^E d^4k^E$
- $\not{k} \rightarrow -i\gamma^E \cdot k^E$
- $\not{A} \rightarrow -i\gamma^E \cdot A^E$
- $k_\mu q^\mu \rightarrow -k^E \cdot q^E$
- $k_\mu x^\mu \rightarrow -k^E \cdot x^E$

- These rules are valid in perturbation theory; i.e., the correct Minkowski space integral for a given diagram will be obtained by applying these rules to the Euclidean integral: they take account of the change of variables and rotation of the contour. However, for diagrams that represent DSEs which involve dressed  $n$ -point functions, whose analytic structure is not known *a priori*, the Minkowski space equation obtained using this prescription will have the right appearance but it's solutions may bear no relation to the analytic continuation of the solution of the Euclidean equation. Any such differences will be nonperturbative in origin.



# *What is QCD?*

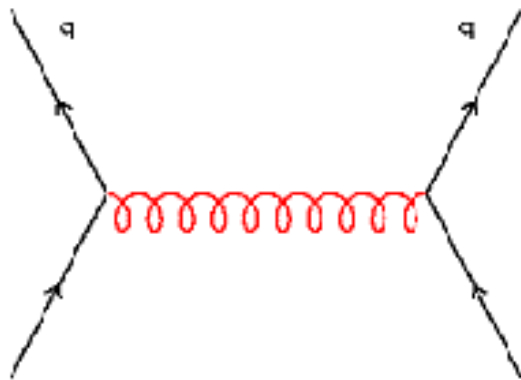
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# What is QCD?

- Gauge Theory:  
Interactions Mediated by **massless** vector bosons

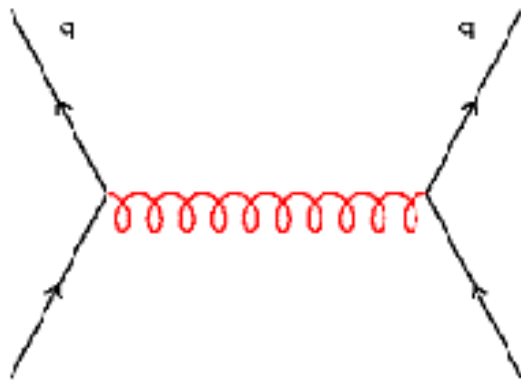
Feynman Diagram of Quark—Quark Scattering



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Feynman Diagram of Quark—Quark Scattering



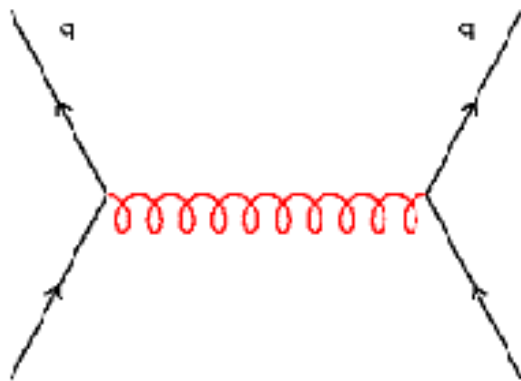
- Similar interaction in QED



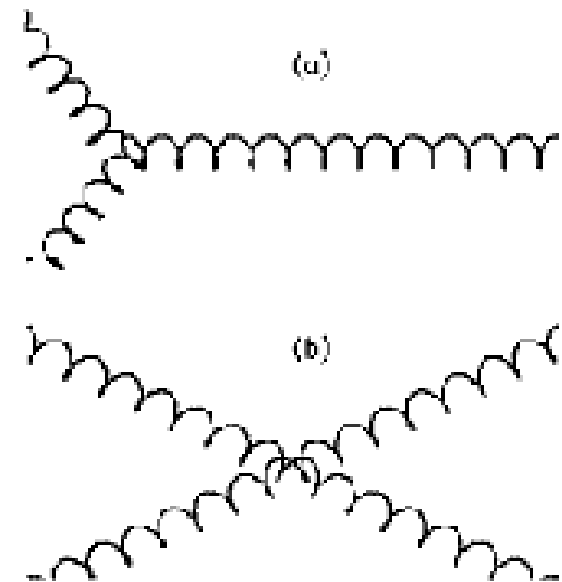
# What is QCD?

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Feynman Diagram of Quark—Quark Scattering



Gluon interactions



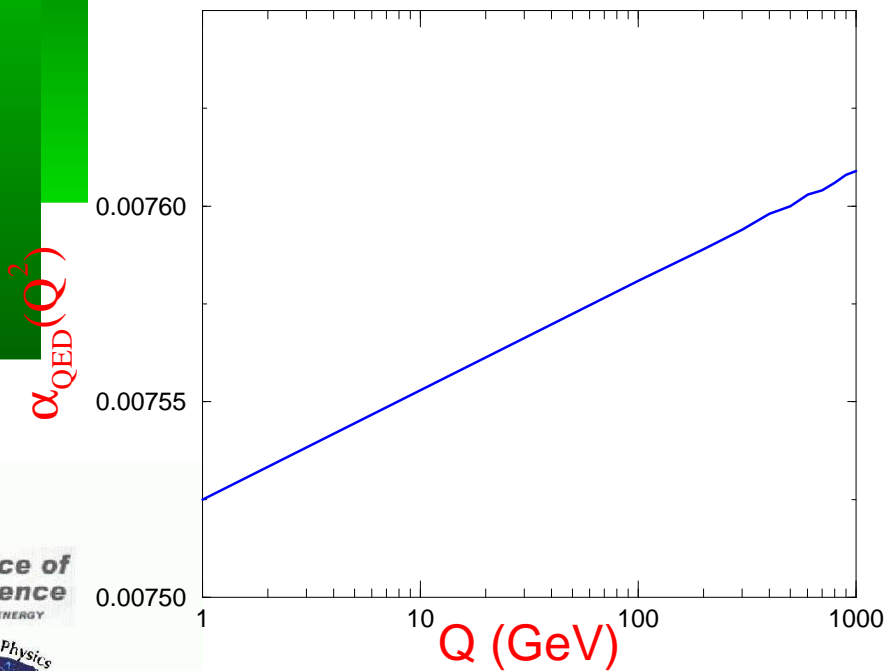
- Similar interaction in QED
- Special Feature of QCD – **gluon self-interactions**

**Completely** Change the Character of the Theory



# *QED* cf. *QCD*

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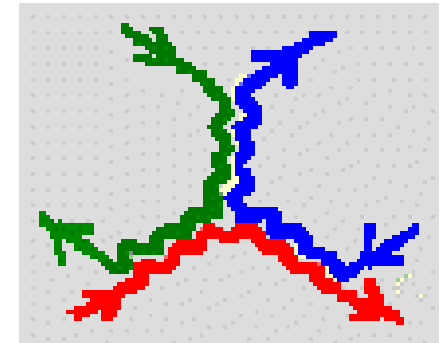
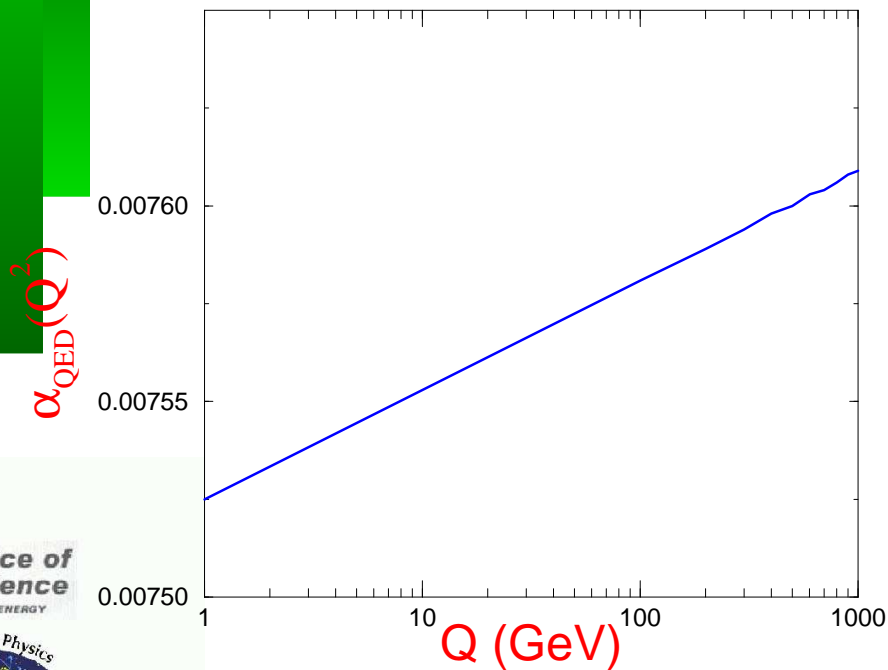


$$\alpha_{\text{QED}} = \frac{\alpha}{1 - \alpha/3\pi \ln(Q^2/m_e^2)}$$



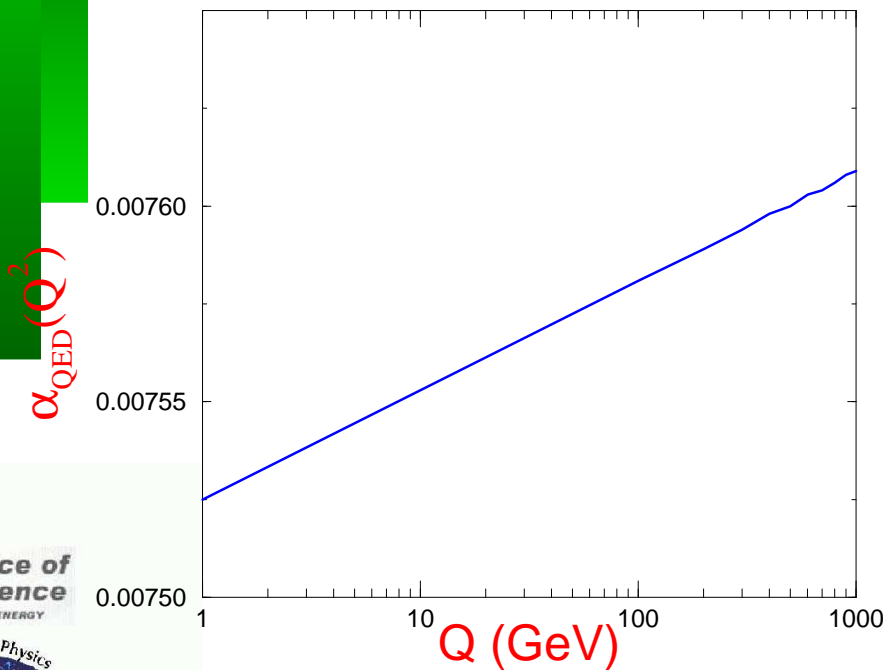
# QED cf. QCD

Add three-gluon interaction



$$\alpha_{\text{QED}} = \frac{\alpha}{1 - \alpha/3\pi \ln(Q^2/m_e^2)}$$

# QED cf. QCD



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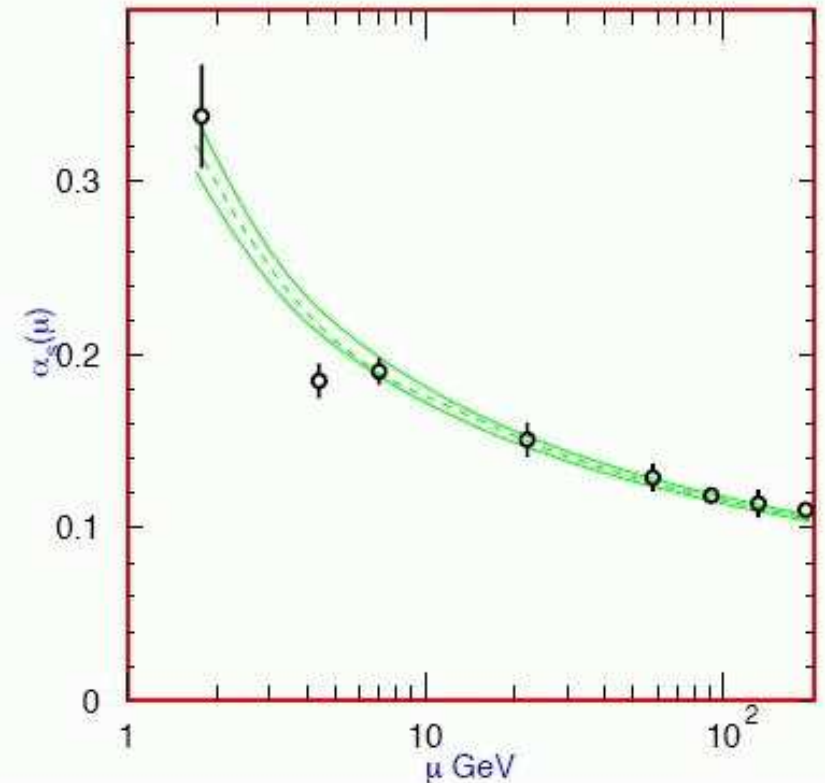


Figure 9.2: Summary of the values of  $\alpha_s(\mu)$  at the values of  $\mu$  where they are measured. The lines show the central values and the  $\pm 1\sigma$  limits of our average. The figure clearly shows the decrease in  $\alpha_s(\mu)$  with increasing  $\mu$ . The data are, in increasing order of  $\mu$ ,  $\tau$  width,  $\Upsilon$  decays, deep inelastic scattering,  $e^+e^-$  event shapes at 22 GeV from the JADE data, shapes at TRISTAN at 58 GeV,  $Z$  width, and  $e^+e^-$  event shapes at 135 and 189 GeV.

$$\alpha_{\text{QCD}} = \frac{12\pi}{(33 - 2N_f) \ln(Q^2/\Lambda^2)}$$

## 2004 Nobel Prize in Physics: Gross, Politzer and Wilczek

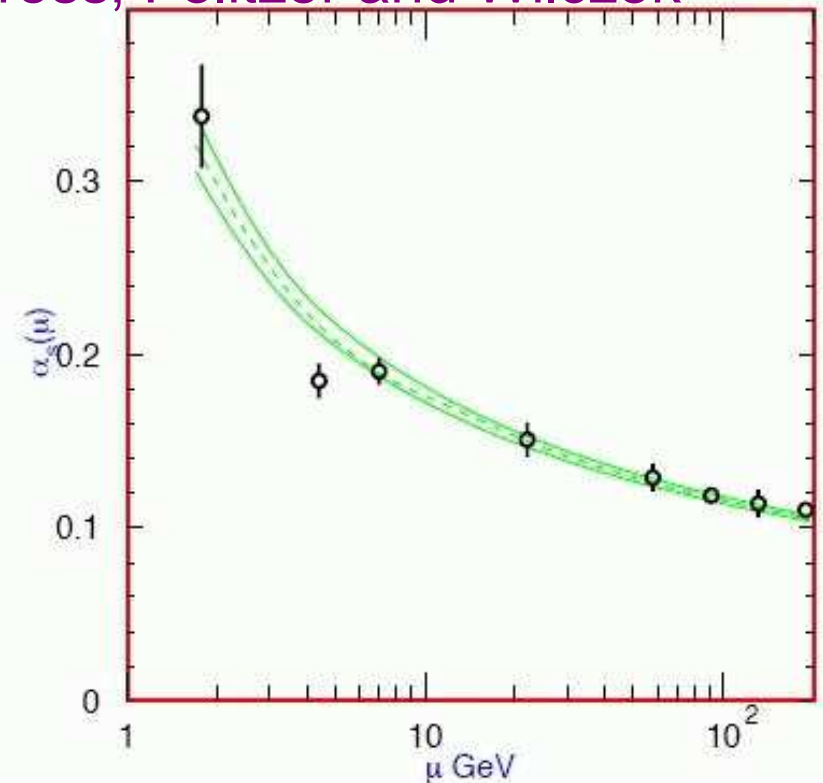
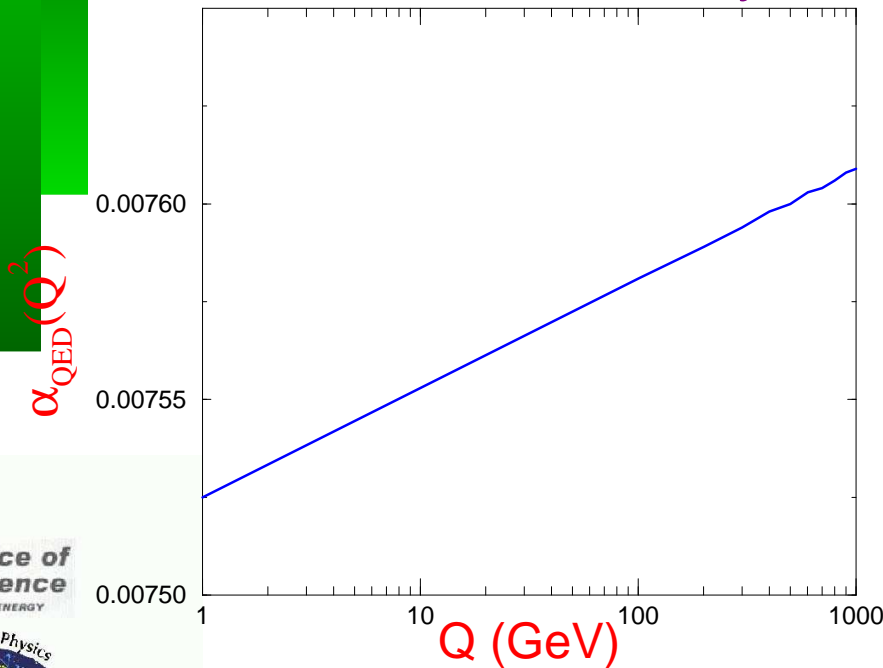


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# *Quarks and Nuclear Physics*

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# Quarks and Nuclear Physics

## Standard Model of Particle Physics Six Flavours

$\begin{pmatrix} 2 \\ 3 \end{pmatrix}$   
up



$\begin{pmatrix} 2 \\ 3 \end{pmatrix}$   
charm

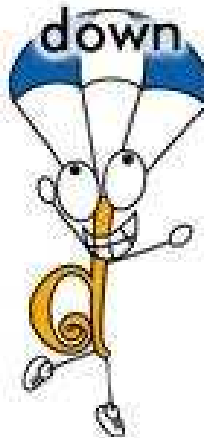


$\begin{pmatrix} 2 \\ 3 \end{pmatrix}$   
top



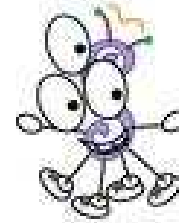
$\begin{pmatrix} -1 \\ 3 \end{pmatrix}$

down



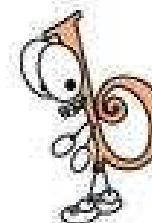
$\begin{pmatrix} -1 \\ 3 \end{pmatrix}$

strange



$\begin{pmatrix} -1 \\ 3 \end{pmatrix}$

bottom





# Quarks and Nuclear Physics

Real World  
Normal Matter ...  
Only Two Light  
Flavours Active



$$\begin{pmatrix} 2 \\ 3 \end{pmatrix}$$

up



$$\begin{pmatrix} 2 \\ 3 \end{pmatrix}$$

charm



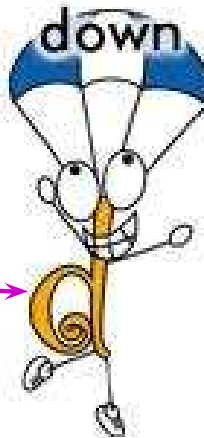
$$\begin{pmatrix} 2 \\ 3 \end{pmatrix}$$

top



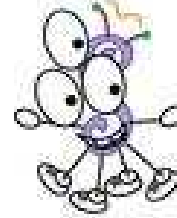
$$\begin{pmatrix} -1 \\ 3 \end{pmatrix}$$

down



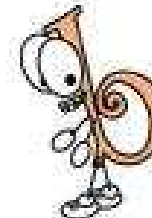
$$\begin{pmatrix} -1 \\ 3 \end{pmatrix}$$

strange



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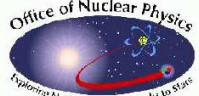
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# Quarks and Nuclear Physics

Real World  
Normal Matter ...  
Only Two Light  
Flavours Active

or, perhaps, three



$\left(\frac{2}{3}\right)$   
up



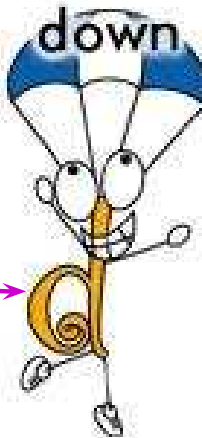
$\left(\frac{2}{3}\right)$   
charm



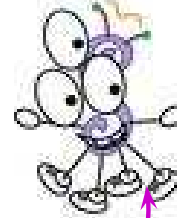
$\left(\frac{2}{3}\right)$   
top



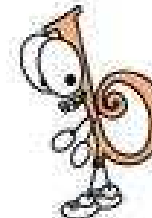
$\left(-\frac{1}{3}\right)$   
down



$\left(-\frac{1}{3}\right)$   
strange



$\left(-\frac{1}{3}\right)$   
bottom

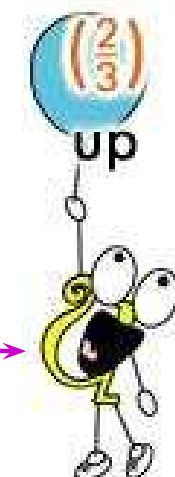


# Quarks and Nuclear Physics

Real World  
Normal Matter ...  
Only Two Light  
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or, perhaps, three

For numerous  
good reasons,  
much research  
also focuses on  
accessible  
heavy-quarks



Nevertheless, I  
will focus

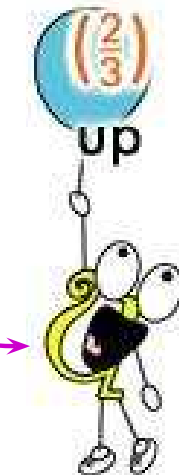
primarily on the  
light-quarks.

# Quarks and Nuclear Physics

Real World  
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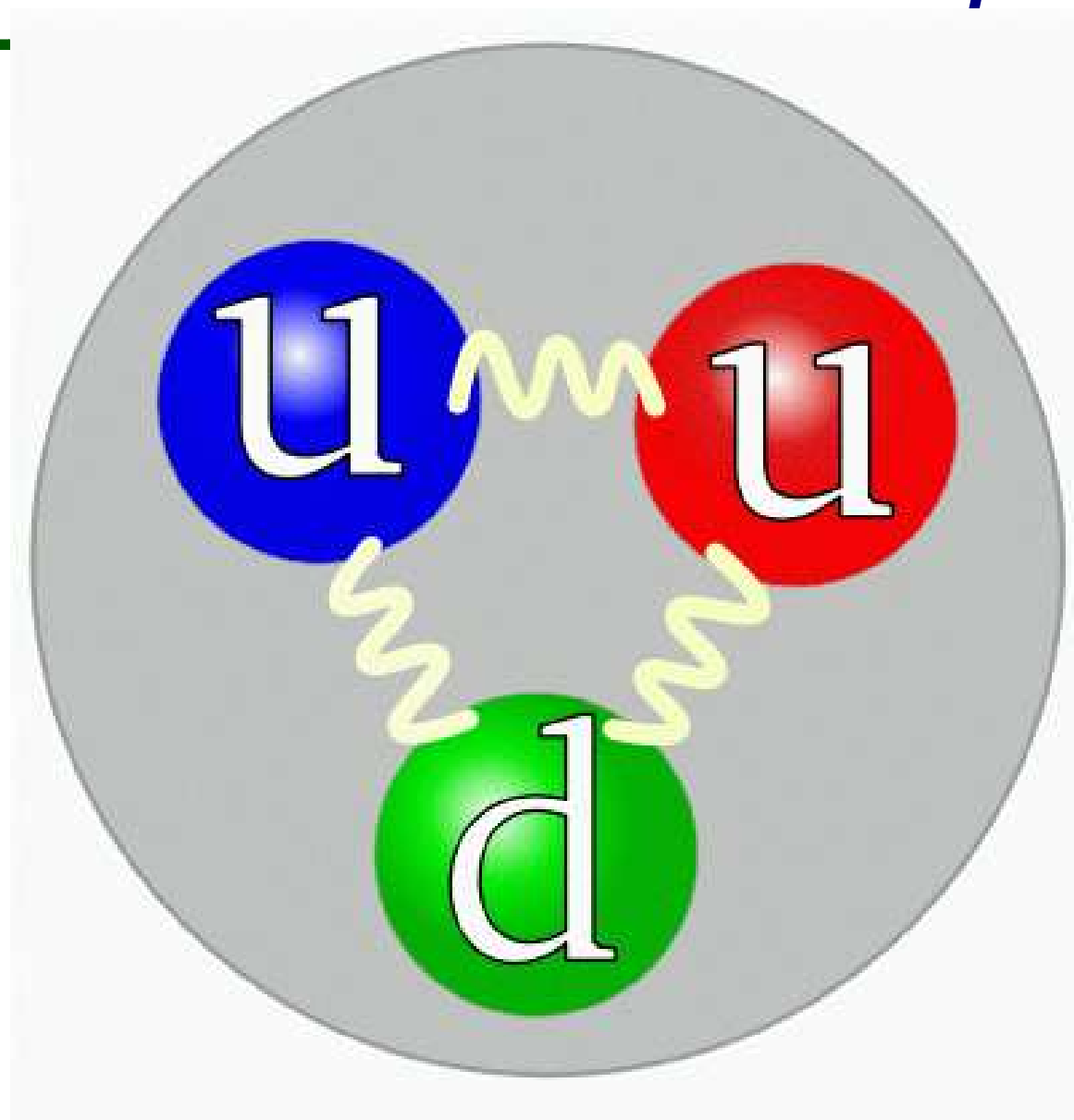
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# *Simple Picture*

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# Simple Picture



## PROTON



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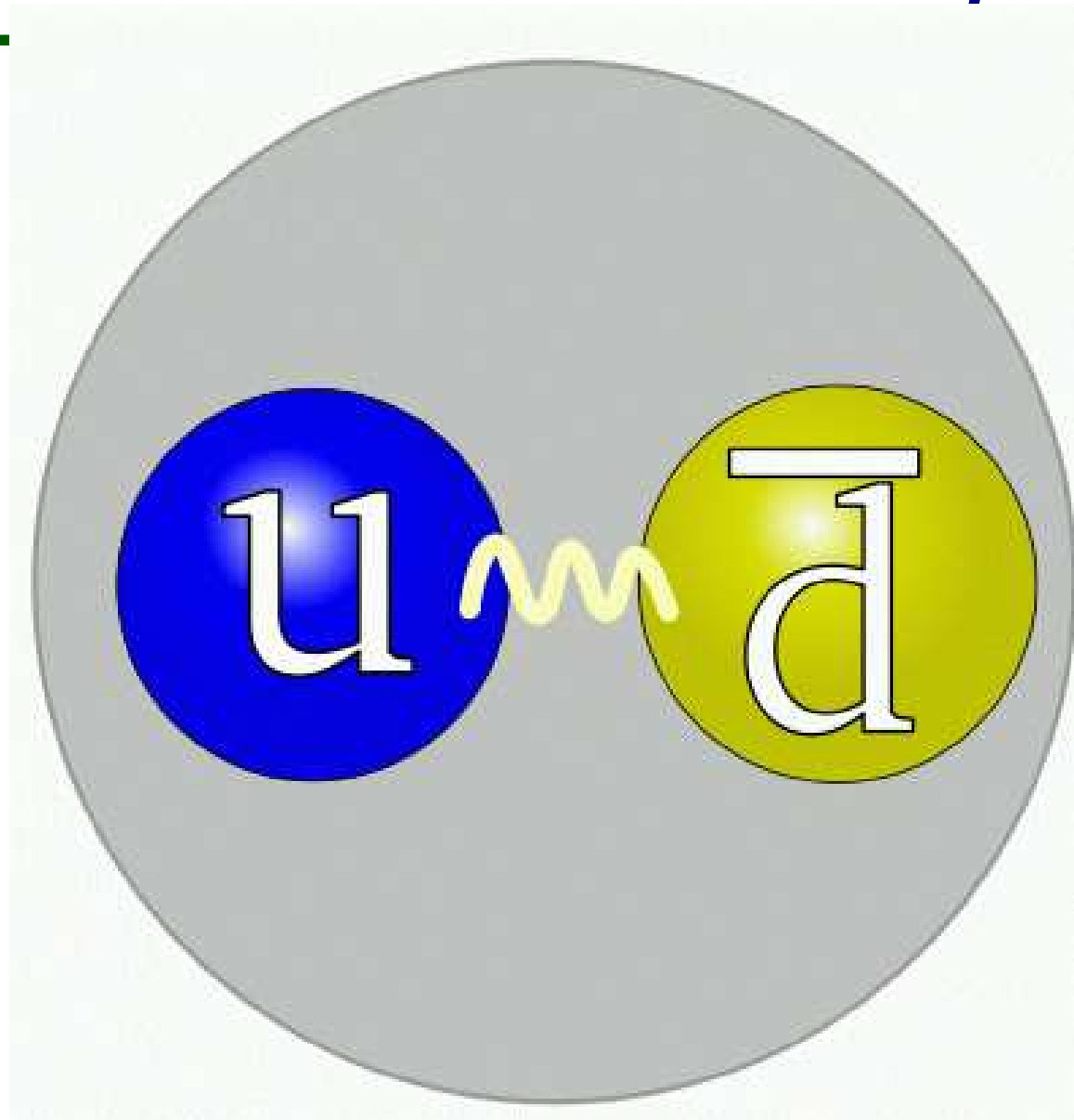
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# Simple Picture



## PION

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# *Study Structure via Nucleon Form Factors*

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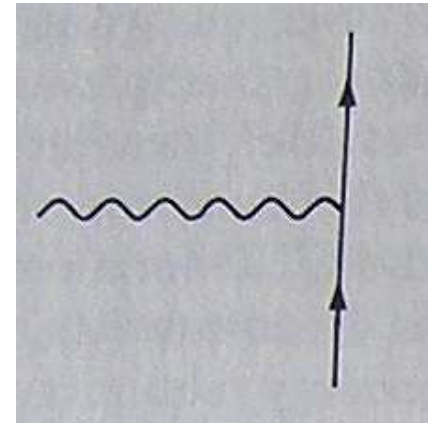
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# Study Structure via Nucleon Form Factors

- Electron's relativistic electromagnetic current:

$$\begin{aligned}j_{\mu}(P', P) &= ie \bar{u}_e(P') \Lambda_{\mu}(Q, P) u_e(P), \quad Q = P' - P \\&= ie \bar{u}_e(P') \gamma_{\mu}(-1) u_e(P)\end{aligned}$$

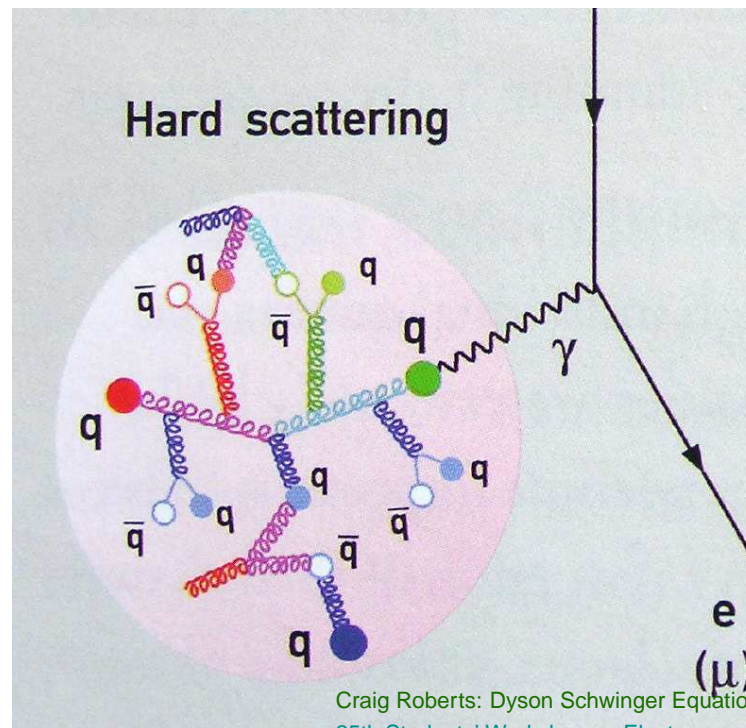


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- Nucleon's relativistic electromagnetic current:

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$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M^2} F_2(Q^2), \quad G_M(Q^2) = F_1(Q^2) + F_2(Q^2).$$



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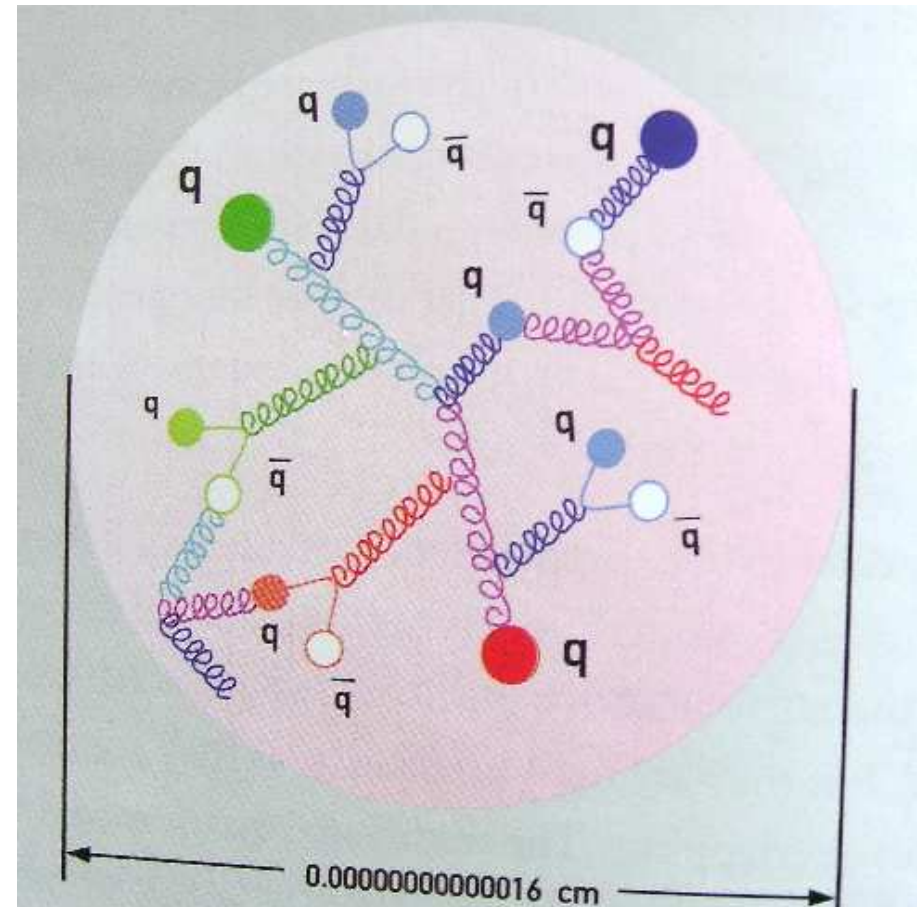
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Point-particle:  $F_2 \equiv 0 \Rightarrow G_E \equiv G_M$



# NSAC Long Range Plan

*A central goal of nuclear physics is to understand the structure and properties of protons and neutrons, and ultimately atomic nuclei, in terms of the quarks and gluons of QCD*

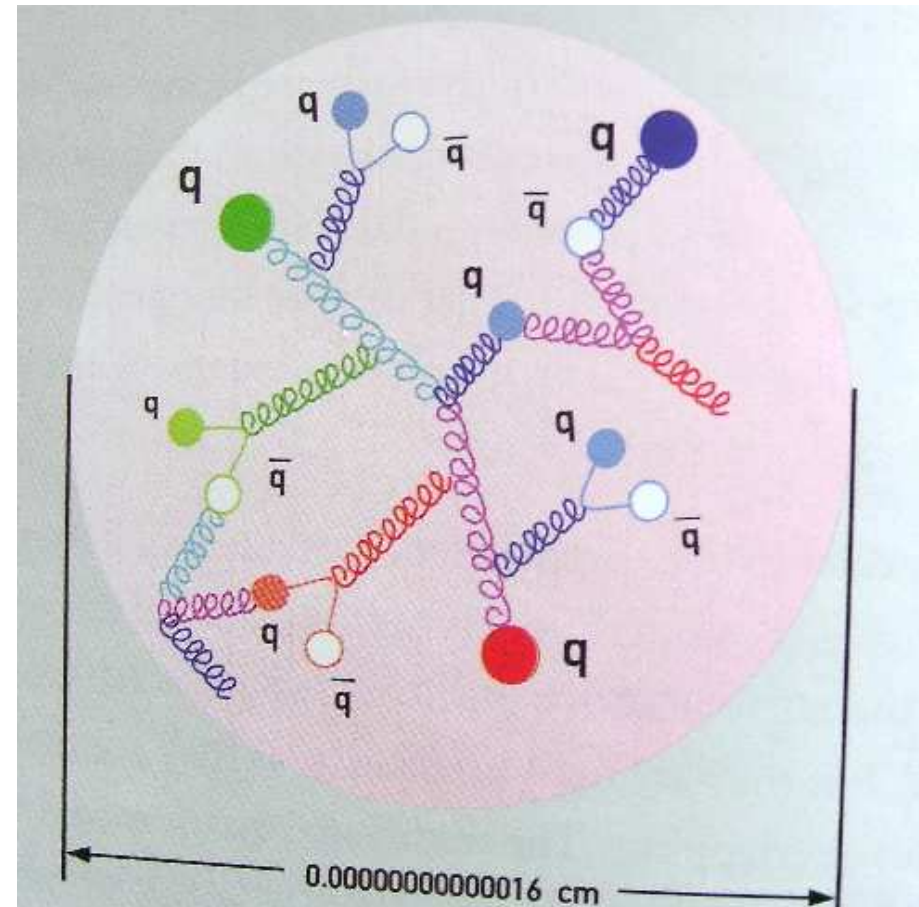




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So, what's the problem?

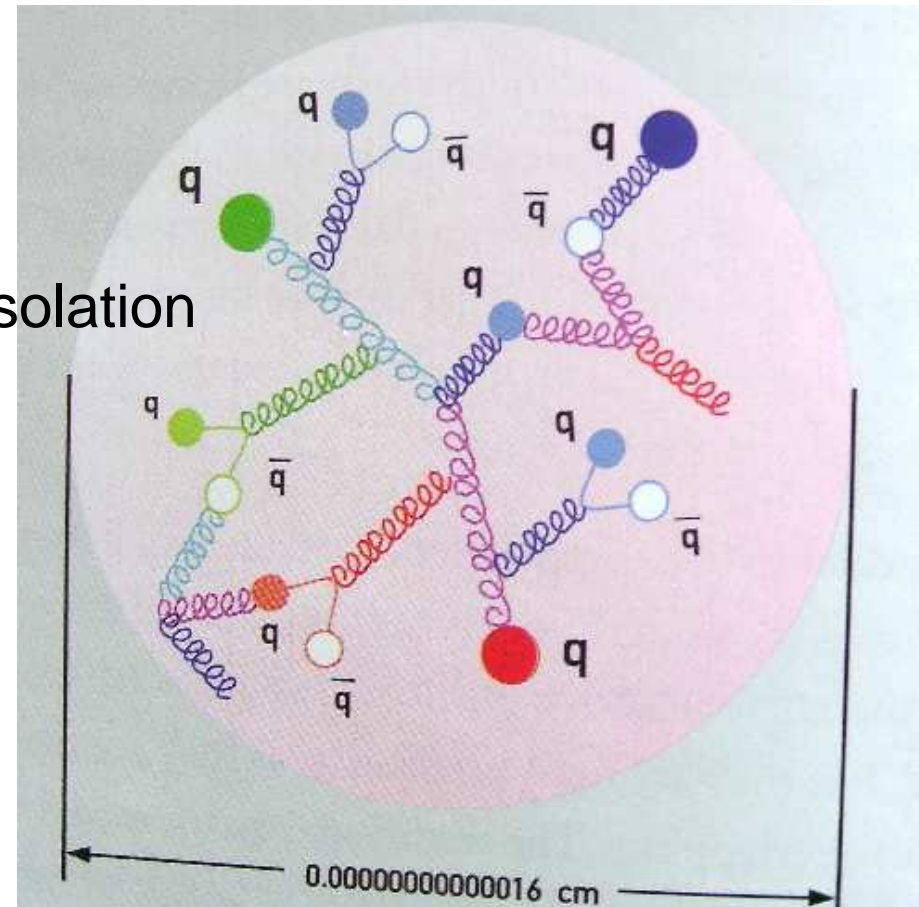


# NSAC Long Range Plan

*A central goal of nuclear physics is to understand the structure and properties of protons and neutrons, and ultimately atomic nuclei, in terms of the quarks and gluons of QCD*

So, what's the problem?

- **Confinement**
  - No quark ever seen in isolation



# NSAC Long Range Plan

*A central goal of nuclear physics is to understand the structure and properties of protons and neutrons, and ultimately atomic nuclei, in terms of the quarks and gluons of QCD*

So, what's the problem?

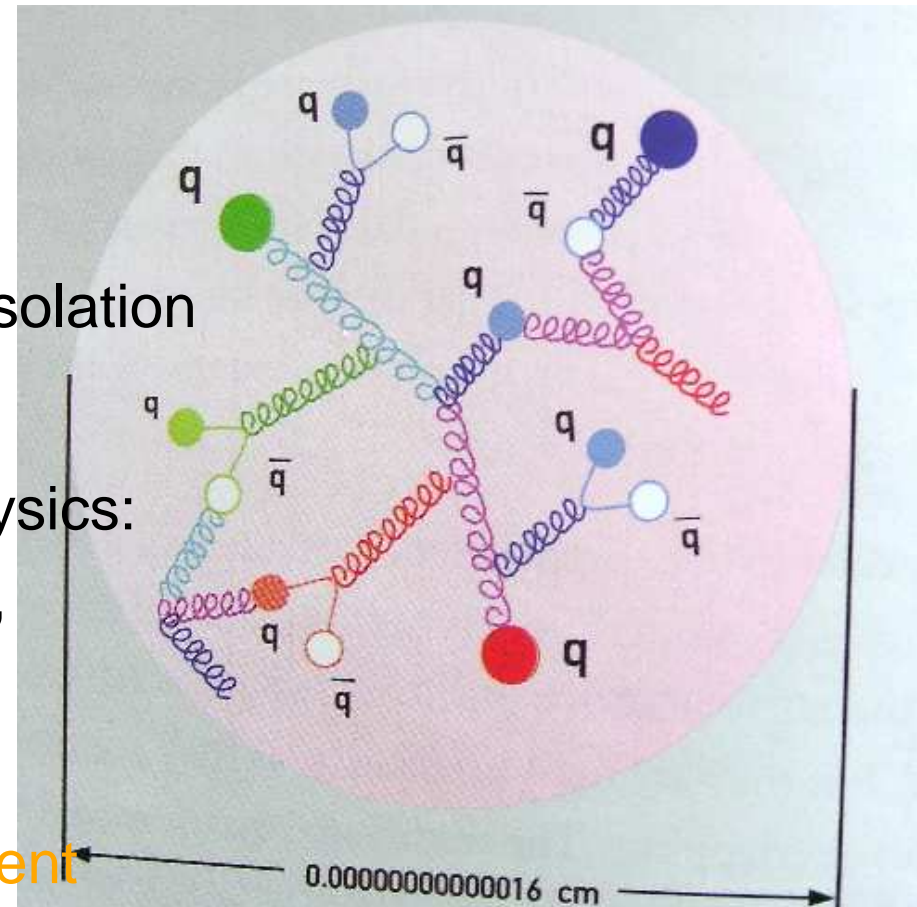
- **Confinement**
  - No quark ever seen in isolation

- **Weightlessness**
  - 2004 Nobel Prize in Physics:

Mass of  $u$ – &  $d$ –quarks,  
each just 5 MeV;

Proton Mass is 940 MeV

⇒ No Explanation Apparent





# Meson Spectrum

LIGHT UNFLAVORED ( $S = C = B = 0$ )				STRANGE ( $S = \pm 1, C = B = 0$ )	
	$J^G(J^{PC})$		$J^G(J^{PC})$		$J^G(J^{PC})$
• $\pi^\pm$	$1^-(0^-)$	• $\pi_2(1670)$	$1^-(2^-+)$	• $K^\pm$	$1/2(0^-)$
• $\pi^0$	$1^-(0^-+)$	• $\phi(1680)$	$0^-(1^-+)$	• $K^0$	$1/2(0^-)$
• $\eta$	$0^+(0^-+)$	• $\rho_3(1690)$	$1^+(3^-+)$	• $K_S^0$	$1/2(0^-)$
• $f_0(600)$	$0^+(0^++)$	• $\rho(1700)$	$1^+(1^-+)$	• $K_L^0$	$1/2(0^-)$
• $\rho(770)$	$1^+(1^-+)$	• $a_2(1700)$	$1^-(2^++)$	• $K_0^*(800)$	$1/2(0^+)$
• $\omega(782)$	$0^-(1^-+)$	• $f_0(1710)$	$0^+(0^++)$	• $K^*(892)$	$1/2(1^-)$
• $\eta'(958)$	$0^+(0^-+)$	• $\eta(1760)$	$0^+(0^-+)$	• $K_1(1270)$	$1/2(1^+)$
• $f_0(980)$	$0^+(0^++)$	• $\pi(1800)$	$1^-(0^-+)$	• $K_1(1400)$	$1/2(1^+)$
• $a_0(980)$	$1^-(0^++)$	• $f_2(1810)$	$0^+(2^++)$	• $K^*(1410)$	$1/2(1^-)$
• $\phi(1020)$	$0^-(1^-+)$	• $X(1835)$	$?^?(?^-+)$	• $K_0^*(1430)$	$1/2(0^+)$
• $h_1(1170)$	$0^-(1^++)$	• $\phi_3(1850)$	$0^-(3^-+)$	• $K_2^*(1430)$	$1/2(2^+)$
• $b_1(1235)$	$1^+(1^++)$	• $\eta_2(1870)$	$0^+(2^-+)$	• $K(1460)$	$1/2(0^-)$
• $a_1(1260)$	$1^-(1^++)$	• $\rho(1900)$	$1^+(1^-+)$	• $K_2(1580)$	$1/2(2^-)$
• $f_2(1270)$	$0^+(2^++)$	• $f_2(1910)$	$0^+(2^++)$	• $K(1630)$	$1/2(?^?)$
• $f_1(1285)$	$0^+(1^++)$	• $f_2(1950)$	$0^+(2^++)$	• $K_1(1650)$	$1/2(1^+)$
• $\eta(1295)$	$0^+(0^-+)$	• $\rho_3(1990)$	$1^+(3^-+)$	• $K^*(1680)$	$1/2(1^-)$
• $\pi(1300)$	$1^-(0^-+)$	• $f_2(2010)$	$0^+(2^++)$	• $K_2(1770)$	$1/2(2^-)$

140 MeV

770



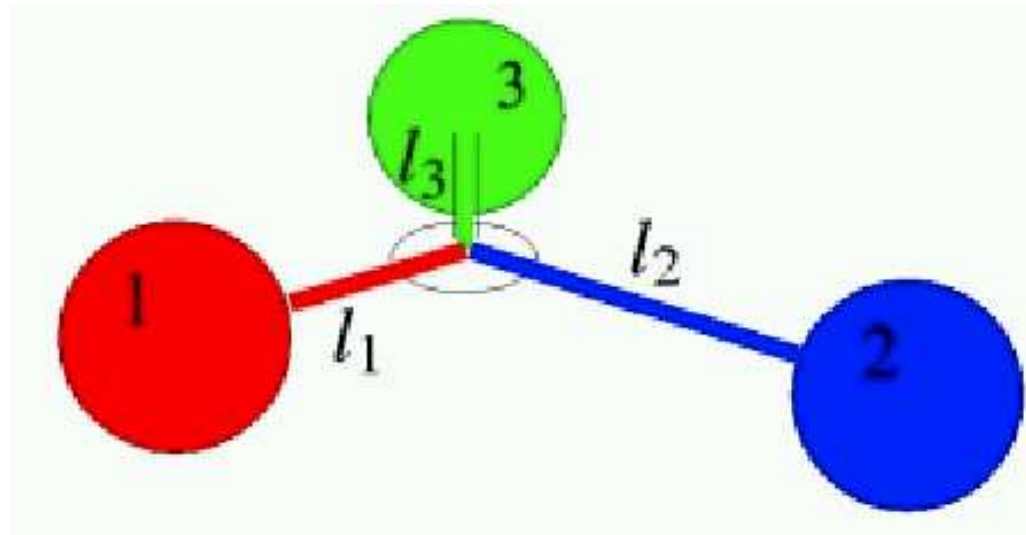
# *Modern Miracles in Hadron Physics*

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# Modern Miracles in Hadron Physics

- proton = three constituent quarks



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- $M_{\text{proton}} \approx 1 \text{ GeV}$



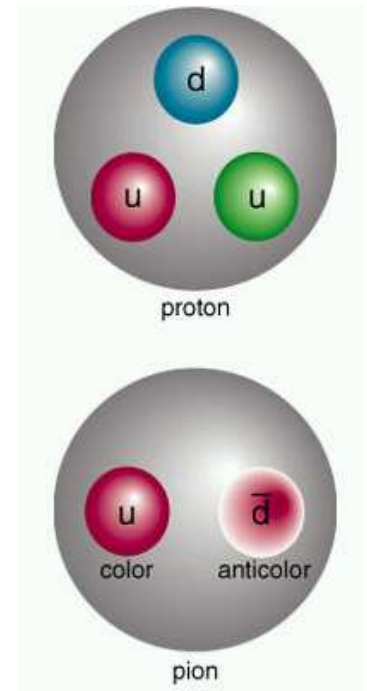
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- Another meson:  
.....  $M_{\rho} = 770 \text{ MeV}$  ..... No Surprises Here



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$$M_{\text{pion}} = 140 \text{ MeV}$$

- What is “wrong” with the pion?



# *Dichotomy of Pion*

## *– Goldstone Mode and Bound state*

---





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- How does one make an **almost massless** particle  
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Must exhibit  $m_\pi^2 \propto m_q$

Current Algebra ... 1968





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The **correct understanding** of pion observables; e.g. **mass**, **decay constant** and **form factors**, **requires** an approach to contain a

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**Highly Nontrivial**



# *What's the Problem?*

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# What's the Problem?

- Minimal requirements
  - detailed understanding of connection between **Current-quark** and **Constituent-quark** masses;
  - and systematic, symmetry preserving means of realising this connection in bound-states.



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# What's the Problem?

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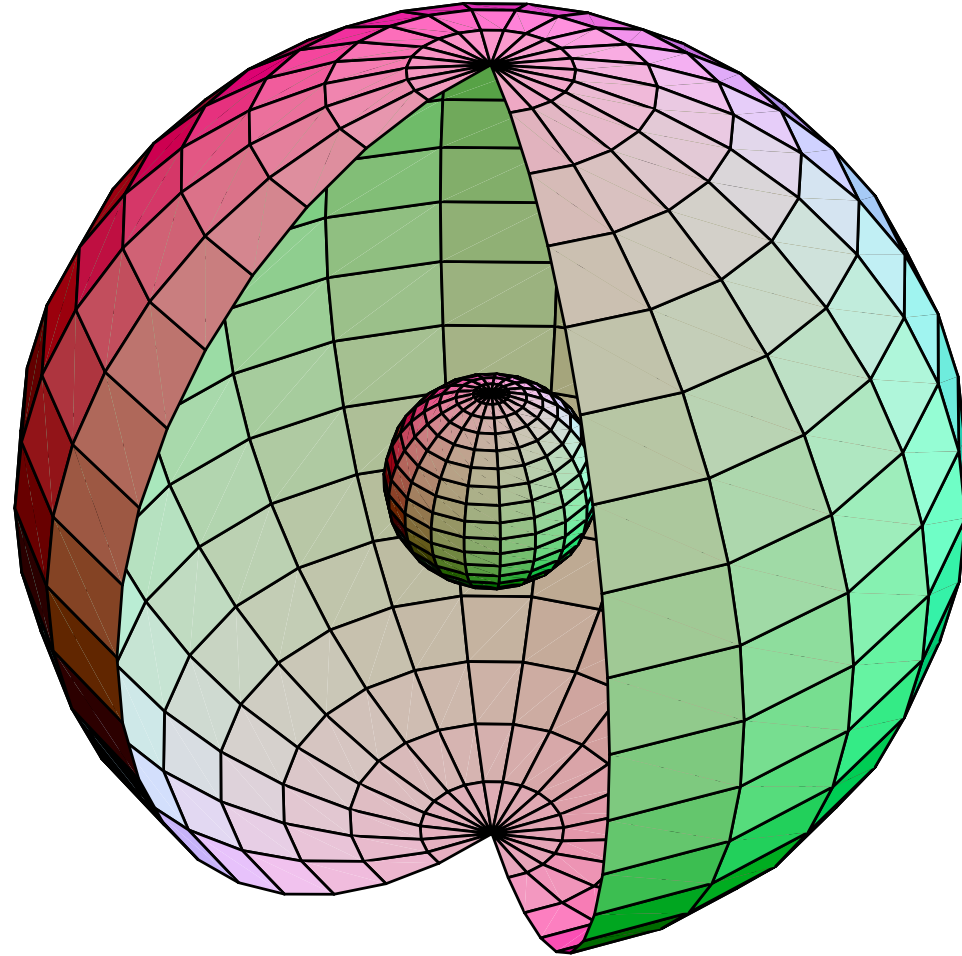
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- Differences!
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  - Interaction between quarks – the **Interquark “Potential”** – *unknown* throughout **> 98%** of a hadron's volume



# *Intranucleon Interaction*

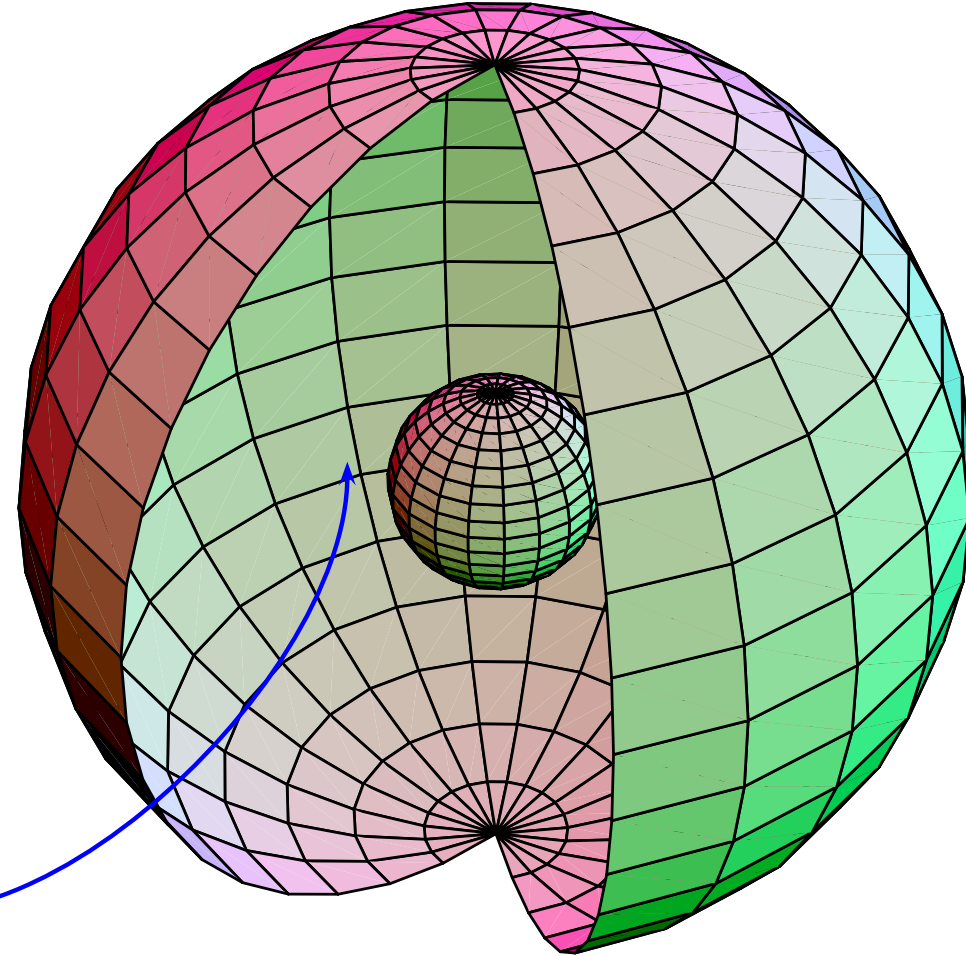
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# *Intranucleon Interaction*





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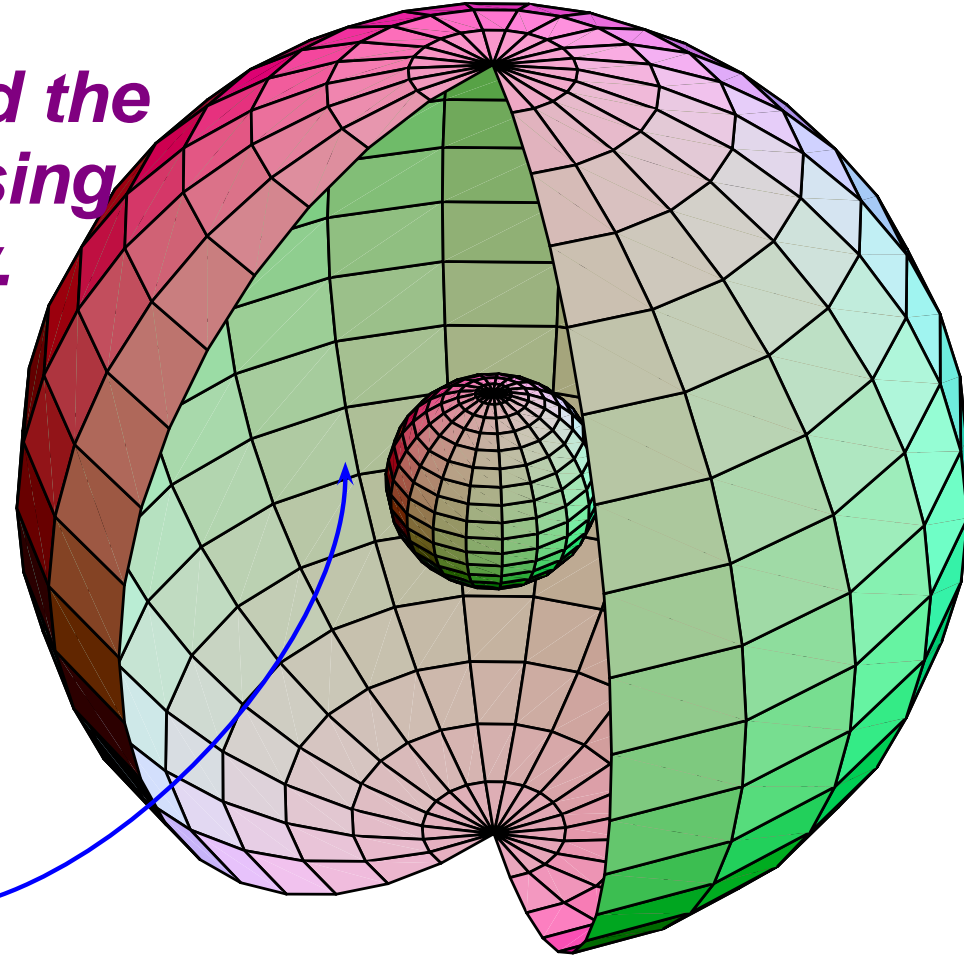


98% of the volume



# What is the Intranucleon Interaction?

*The question must be rigorously defined, and the answer mapped out using experiment and theory.*



98% of the volume



Argonne  
NATIONAL  
LABORATORY

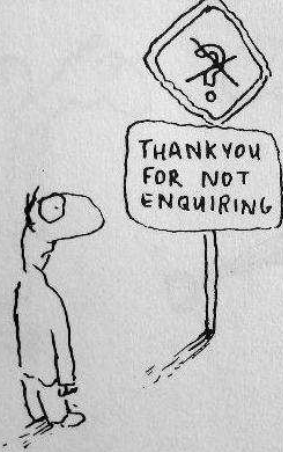
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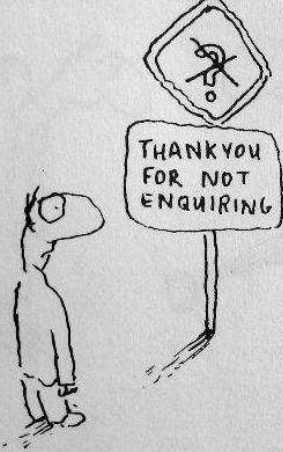
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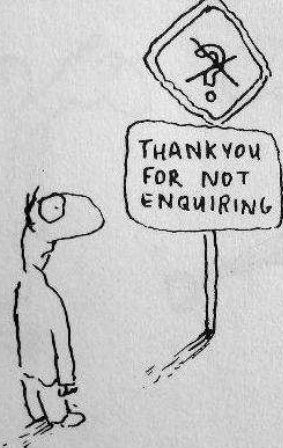
# QCD's Challenges

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- Quark and Gluon Confinement
  - No matter how hard one strikes the proton, one cannot liberate an individual quark or gluon

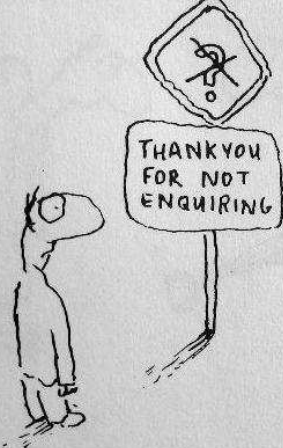




- Quark and Gluon Confinement
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- Dynamical Chiral Symmetry Breaking
  - Very unnatural pattern of bound state masses
    - e.g., Lagrangian (pQCD) quark mass is small but ... no degeneracy between  $J^{P=+}$  and  $J^{P=-}$







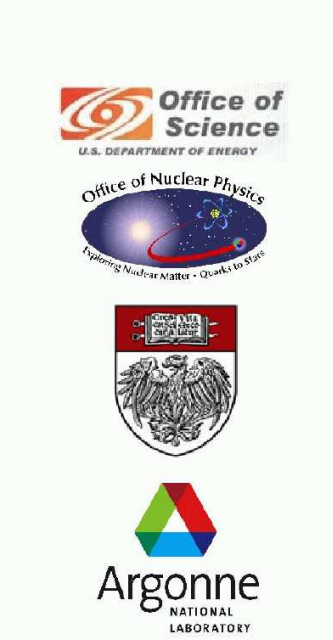
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- Neither of these phenomena is apparent in QCD's Lagrangian **yet** they are the dominant determining characteristics of real-world QCD.



## Understand Emergent Phenomena

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- Neither of these phenomena is apparent in QCD's Lagrangian **yet** they are the dominant determining characteristics of real-world QCD.
- QCD – Complex behaviour  
arises from apparently simple rules







# Why should You care?

Absent DCSB:  $m_\pi = m_\rho \Rightarrow$  repulsive and attractive forces in nucleon-nucleon interaction both have **SAME** range and there is **No** intermediate range attraction!



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• Probably not, if range **range**  $\sim \frac{1}{2 M_Q}$



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- How do such changes affect Big Bang Nucleosynthesis?



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  - Can one guarantee  $M_n > M_p$ ?

Is a unique long-range interaction between light-quarks responsible for all this or are there an uncountable infinity of qualitatively equivalent interactions?



# Chiral Symmetry

Gauge Theories with Massless Fermions have

CHIRAL SYMMETRY



# Chiral Symmetry

- Helicity  $\lambda \propto J \cdot p$ 
  - Projection of Spin onto Direction of Motion
  - For massless particles, helicity is a Lorentz invariant *Spin Observable*.
  - $\lambda = \pm$  ( $\parallel$  or anti- $\parallel$  to  $p_\mu$ )



# Chiral Symmetry

- Chirality Operator:  $\gamma_5$ 
  - Chiral Transformation  $q(x) \rightarrow e^{i\gamma_5\theta} q(x)$



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  - Chiral Transformation  $q(x) \rightarrow e^{i\gamma_5\theta} q(x)$
  - Chiral Rotation  $\theta = \frac{\pi}{2}$ 
    - $q_{\lambda=+} \rightarrow q_{\lambda=+}, q_{\lambda=-} \rightarrow -q_{\lambda=-}$
    - Hence, a theory invariant under chiral transformations can only contain interactions that are insensitive to a particle's helicity.



# Chiral Symmetry

- Chirality Operator:  $\gamma_5$ 
  - Chiral Transformation  $q(x) \rightarrow e^{i\gamma_5\theta} q(x)$
  - Chiral Rotation  $\theta = \frac{\pi}{4}$
  - Composite Particles:  $J^{P=+} \leftrightarrow J^{P=-}$
  - Equivalent to “Parity Conjugation” Operation





# Chiral Symmetry

- A Prediction of Chiral Symmetry
  - **Degeneracy** between Parity Partners
$$N(\frac{1}{2}^+, 938) = N(\frac{1}{2}^-, 1535),$$
$$\pi(0^-, 140) = \sigma(0^+, 600),$$
$$\rho(1^-, 770) = a_1(1^+, 1260)$$
  - **Doesn't** Look too good  
Predictions *not* Valid – Violations *too* Large.
  - Appears to suggest quarks are **Very Heavy**



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How can pion mass be so small

If quarks are so heavy?!



# Propagators

- Extraordinary Effects in QCD Tied to Properties of *Dressed-Quark* and -*Gluon* Propagators

Quark

Gluon

$$S_f(x - y) \equiv \langle q_f(x) \bar{q}_f(y) \rangle \quad D_{\mu\nu}(x - y) \equiv \langle A_\mu(x) A_\nu(y) \rangle$$

- Describe *in-Medium Propagation Characteristics* of Elementary Particles



- **Example:** Solid-State Physics
  - $\gamma$  propagating in a Dense  $e^-$  Gas
  - Acquires a Debye Mass
$$m_D^2 \propto k_F^2: \frac{1}{Q^2} \rightarrow \frac{1}{Q^2 + m_D^2}$$
  - $\gamma$  develops an **Effective-mass**



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- $\gamma$  develops an **Effective-mass**

- Leads to **Screening** of the Interaction:  $r \propto \frac{1}{m_D}$

- **Quark** and **Gluon** Propagators:

Modified in a similar way -

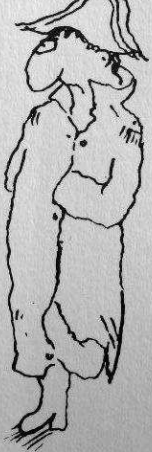
**Momentum Dependent** Effective Masses

- The Effect of this is Observable in **QCD**



# *Explicit Chiral Symmetry Breaking*





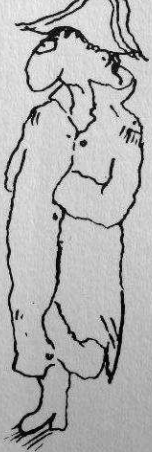
# Explicit Chiral Symmetry Breaking

## ● Chiral Symmetry

Can be discussed in terms of Quark Propagator

● Free Quark Propagator  $S_0(p) = \frac{-i\gamma \cdot p + m}{p^2 + m^2}$





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## ● Chiral Transformation

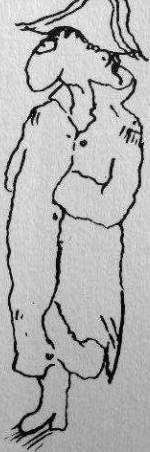
$$\begin{aligned} S_0(p) &\rightarrow e^{i\gamma_5\theta} S_0(p) e^{i\gamma_5\theta} \\ &= \frac{-i\gamma \cdot p}{p^2 + m^2} + e^{2i\gamma_5\theta} \frac{m}{p^2 + m^2} \end{aligned}$$

- Symmetry Violation  $\propto m$

- $m = 0$ :  $S_0(p) \rightarrow S_0(p)$







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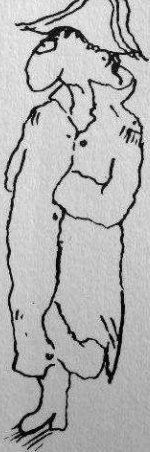
● Free Quark Propagator  $S_0(p) = \frac{-i\gamma \cdot p + m}{p^2 + m^2}$

## ● Quark Condensate

$$\langle \bar{q}q \rangle_\mu \equiv \int_\mu^\Lambda \frac{d^4p}{(2\pi)^4} \text{tr} [S(p)] \propto \int_\mu^\Lambda \frac{d^4p}{(2\pi)^4} \frac{m}{p^2 + m^2}$$

- A Measure of the Chiral Symmetry Violating Term





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- A Measure of the Chiral Symmetry Violating Term
- Perturbative QCD: Vanishes if  $m = 0$



# *Dynamical Symmetry Breaking*



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$$V(x, y) = (\sigma^2 + \pi^2 - 1)^2$$

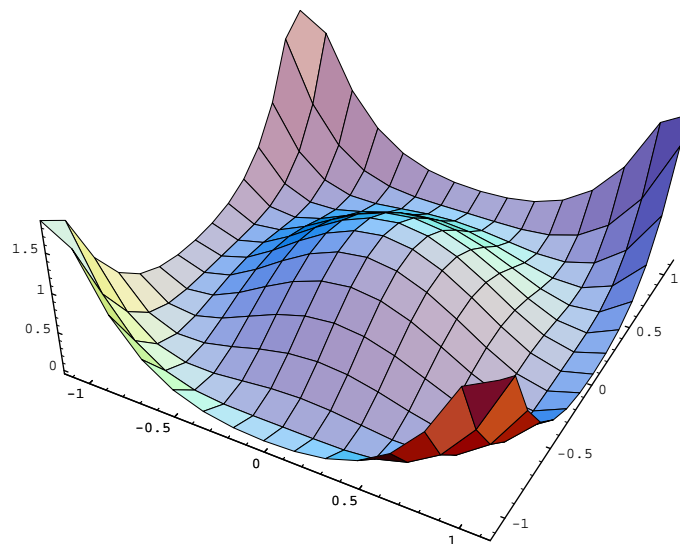
Hamiltonian:  $T + V$ , is Rotationally Invariant



# Dynamical Symmetry Breaking

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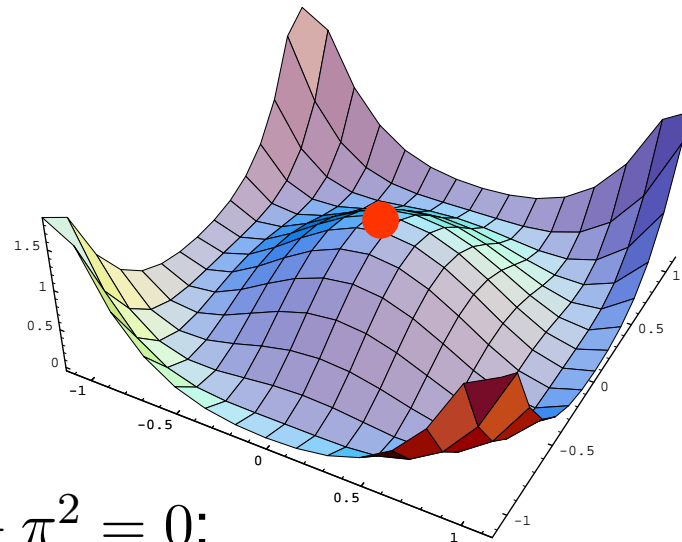
Hamiltonian:  $T + V$ , is Rotationally Invariant



# Dynamical Symmetry Breaking

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Ground State?

- **Ball** at  $(\sigma, \pi)$   
for which  $\sigma^2 + \pi^2 = 0$ :
- Rotationally Invariant

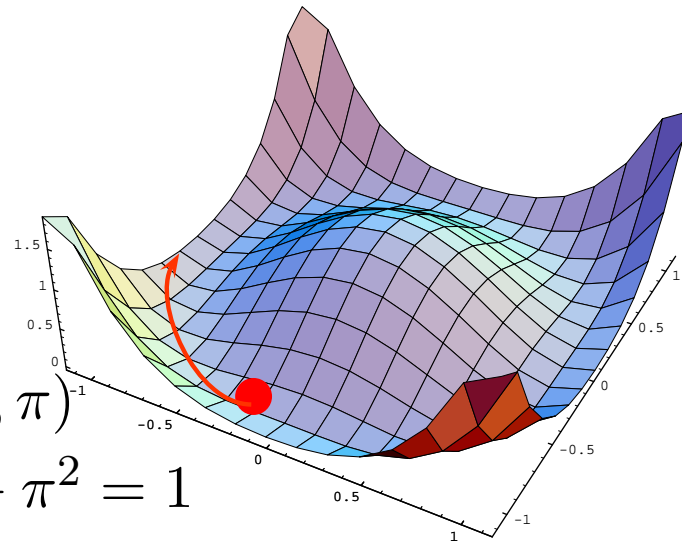
UNSTABLE



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- But **not invariant** under rotations

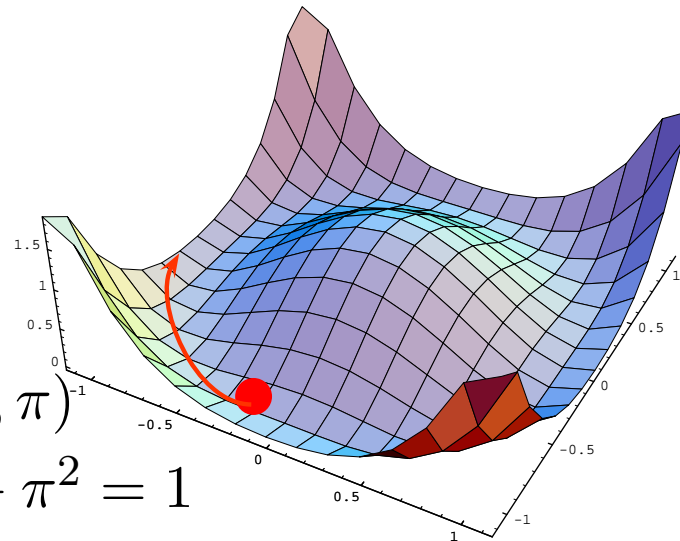




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Symmetry of Ground State  $\neq$  Symmetry of Hamiltonian



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NO quarks or gluons have ever reached a detector alone



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NB. Hadron Physics Milestone, 2012: Measure the electromagnetic excitations of low-lying hadrons and their transition form factors.



# Model QCD

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# Traditional approach to strong force problem

## *Model QCD*



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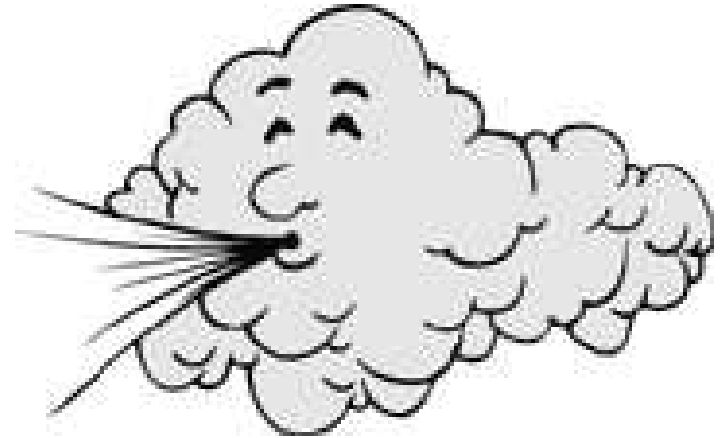
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## *Model QCD*





# *Lattice QCD*

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# One modern nonperturbative approach *Lattice QCD*



# One modern nonperturbative approach *Lattice QCD*



# *Dyson-Schwinger Equations*

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# *Dyson-Schwinger Equations*

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- Simplest level: **Generating Tool for Perturbation Theory**  
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- ⇒ Understanding InfraRed (long-range)  
..... behaviour of  $\alpha_s(Q^2)$



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- Method yields Schwinger Functions  $\equiv$  Propagators



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Cross-Sections built from Schwinger Functions



# ***Perturbative Dressed-quark Propagator***

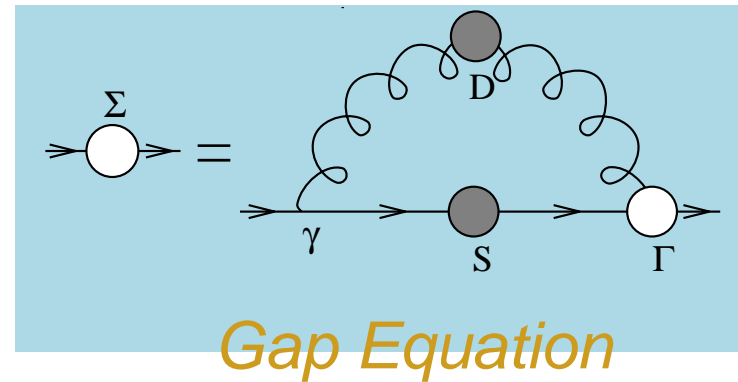
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# Perturbative Dressed-quark Propagator

$$S(p) = \frac{Z(p^2)}{i\gamma \cdot p + M(p^2)}$$

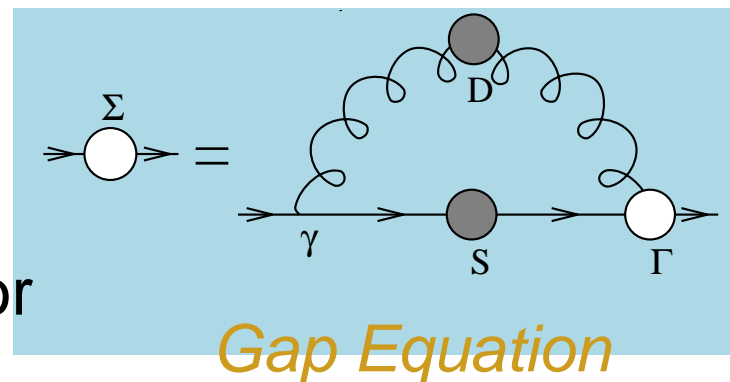




# Perturbative Dressed-quark Propagator

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● dressed-quark propagator



$$S(p) = \frac{1}{i\gamma \cdot p A(p^2) + B(p^2)}$$

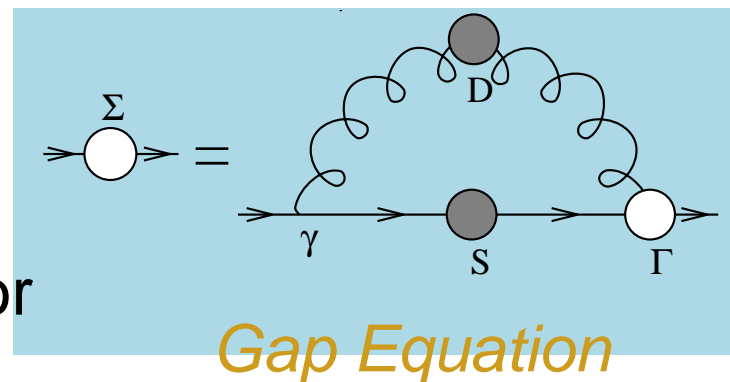




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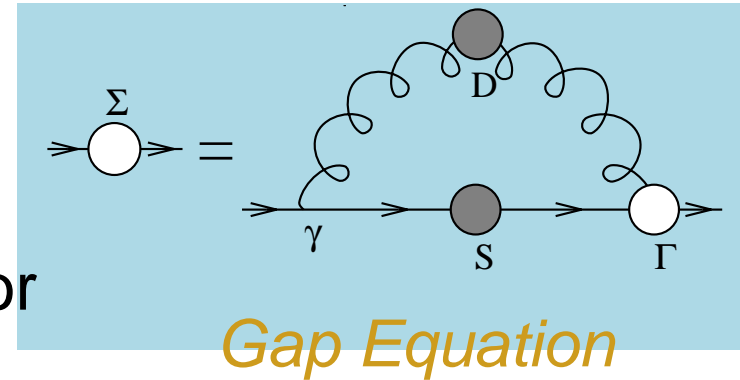
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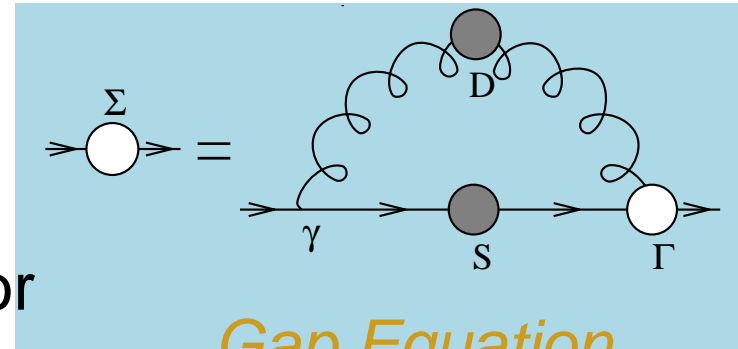
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$$B(p^2) = m \left( 1 - \frac{\alpha}{\pi} \ln \left[ \frac{p^2}{m^2} \right] + \dots \right) \xrightarrow{m \rightarrow 0} 0$$

# Perturbative Dressed-quark Propagator

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Gap Equation

$$S(p) = \frac{1}{i\gamma \cdot p A(p^2) + B(p^2)}$$

No DCSB  
Here!



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# Nambu–Jona-Lasinio Model

- Recall the Gap Equation:

$$S^{-1}(p) = i\gamma \cdot p A(p^2) + B(p^2) = i\gamma \cdot p + m + \int^{\Lambda} \frac{d^4\ell}{(2\pi)^4} g^2 D_{\mu\nu}(p-\ell) \gamma_{\mu} \frac{\lambda^a}{2} \frac{1}{i\gamma \cdot \ell A(\ell^2) + B(\ell^2)} \Gamma_{\nu}^a(\ell, p) \quad (4)$$

- NJL:  $\Gamma_{\mu}^a(k, p)_{\text{bare}} = \gamma_{\mu} \frac{\lambda^a}{2};$

$$g^2 D_{\mu\nu}(p-\ell) \rightarrow \delta_{\mu\nu} \frac{1}{m_G^2} \theta(\Lambda^2 - \ell^2) \quad (5)$$

- Model is not renormalisable  
 $\Rightarrow$  regularisation parameter ( $\Lambda$ ) plays a dynamical role.

- NJL Gap Equation

$$\begin{aligned} & i\gamma \cdot p A(p^2) + B(p^2) \\ &= i\gamma \cdot p + m + \frac{4}{3} \frac{1}{m_G^2} \int \frac{d^4\ell}{(2\pi)^4} \theta(\Lambda^2 - \ell^2) \gamma_{\mu} \frac{-i\gamma \cdot \ell A(\ell^2) + B(\ell^2)}{\ell^2 A^2(\ell^2) + B^2(\ell^2)} \gamma_{\mu} \end{aligned} \quad (6)$$



# Solving NJL Gap Equation

- Multiply Eq. (6) by  $(-i\gamma \cdot p)$ ; trace over Dirac indices:

$$p^2 A(p^2) = p^2 + \frac{8}{3} \frac{1}{m_G^2} \int \frac{d^4 \ell}{(2\pi)^4} \theta(\Lambda^2 - \ell^2) p \cdot \ell \frac{A(\ell^2)}{\ell^2 A^2(\ell^2) + B^2(\ell^2)} \quad (7)$$

- Angular integral vanishes, therefore

$$A(p^2) \equiv 1. \quad (8)$$

This owes to the the fact that NJL model is defined by four-fermion contact interaction in configuration space, entails momentum-independence of interaction in momentum space.

- Tracing over Dirac indices; use Eq. (8):

$$B(p^2) = m + \frac{16}{3} \frac{1}{m_G^2} \int \frac{d^4 \ell}{(2\pi)^4} \theta(\Lambda^2 - \ell^2) \frac{B(\ell^2)}{\ell^2 + B^2(\ell^2)}, \quad (9)$$

- Integral is  $p^2$ -independent.
- Therefore  $B(p^2) = \text{constant} = M$  is the only solution.



# NJL Mass Gap

- Evaluate integrals; Eq. (9) becomes

$$M = m + M \frac{1}{3\pi^2} \frac{1}{m_G^2} C(M^2, \Lambda^2), \quad (10)$$

$$C(M^2, \Lambda^2) = \Lambda^2 - M^2 \ln [1 + \Lambda^2/M^2]. \quad (11)$$

- $\Lambda$  defines model's mass-scale. Henceforth set  $\Lambda = 1$ . Then all other dimensioned quantities are given in units of this scale, in which case the gap equation can be written

$$M = m + M \frac{1}{3\pi^2} \frac{1}{m_G^2} C(M^2, 1). \quad (12)$$



- Chiral limit:  $m = 0$ ,

$$M = M \frac{1}{3\pi^2} \frac{1}{m_G^2} C(M^2, 1)$$

- Solved if  $M \equiv 0$

... This is the **perturbative result**: start with no mass, end up with no mass.

- Suppose  $M \neq 0$

- Solved iff  $1 = \frac{1}{3\pi^2} \frac{1}{m_G^2} C(M^2, 1).$

# NJL Dynamical Mass

● Can one satisfy  $1 = \frac{1}{3\pi^2} \frac{1}{m_G^2} \mathcal{C}(M^2, 1)$  ?

●  $\mathcal{C}(M^2, 1) = 1 - M^2 \ln [1 + 1/M^2]$

● Monotonically decreasing function of  $M$

● Maximum value at  $M = 0$ :  $\mathcal{C}(0, 1) = 1$ .

● Consequently  $\exists M \neq 0$  solution iff  $\frac{1}{3\pi^2} \frac{1}{m_G^2} > 1$

● Typical scale for hadron physics  $\Lambda \sim 1 \text{ GeV}$ .

●  $M \neq 0$  solution iff  $m_G^2 < \frac{\Lambda^2}{3\pi^2} \simeq (0.2 \text{ GeV})^2$

● Interaction Strength is proportional to  $\frac{1}{m_G^2}$

● When interaction is strong enough,  
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## Dynamical Chiral Symmetry Breaking



# NJL Dynamical Mass

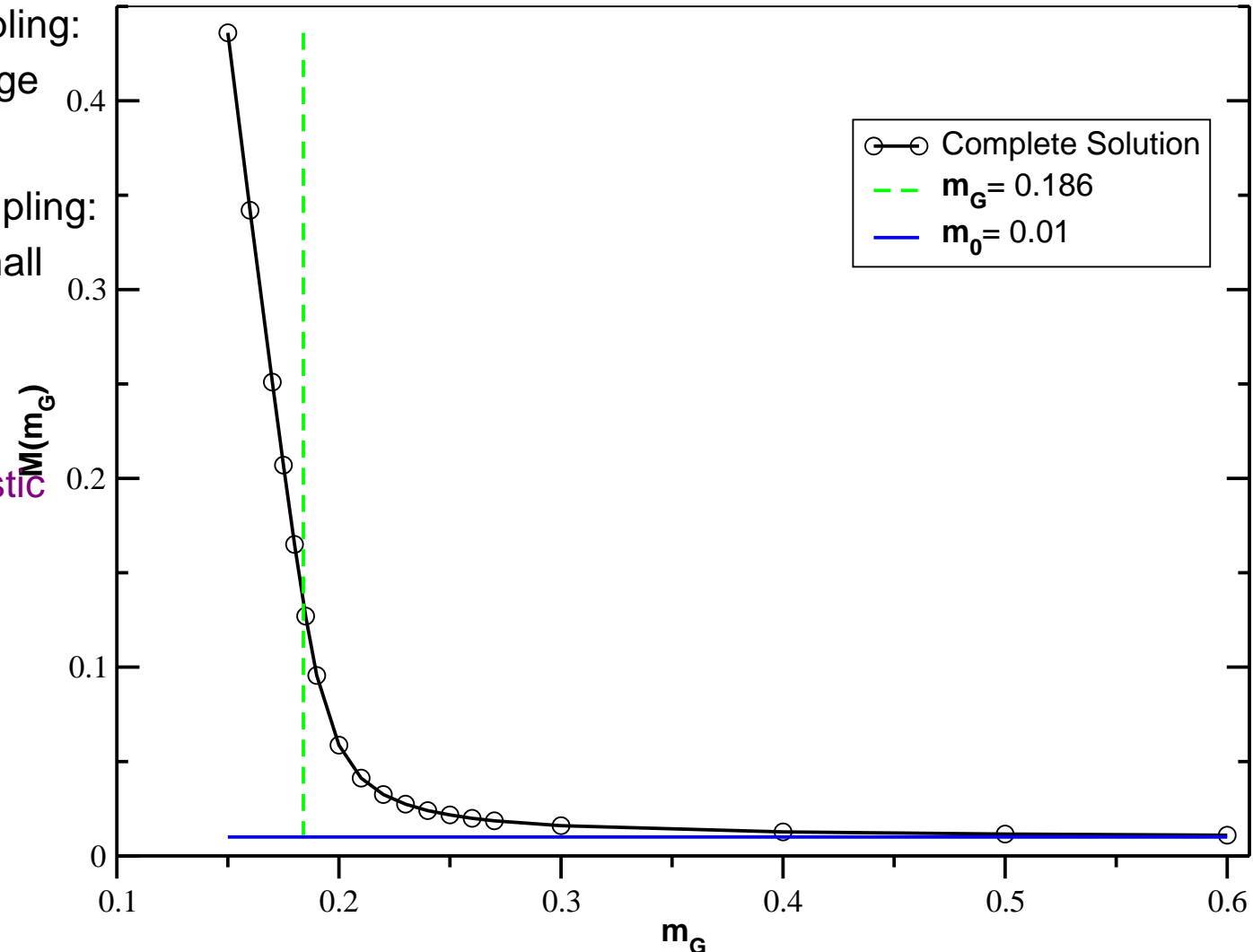
Solve 
$$M = m_0 + M \frac{1}{3\pi^2} \frac{1}{m_G^2} \mathcal{C}(M^2, 1)$$

## NJL Mass Gap

Weak coupling:  
 $\Leftrightarrow m_G$  large  
 $M \sim m_0$

Strong coupling:  
 $\Leftrightarrow m_G$  small  
 $M \gg m_0$

This is the  
 essential  
 characteristic  
 of DCSB





# ***NJL Model and Confinement?***

- **Confinement** – no free-particle-like quarks



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- **Confinement** – no free-particle-like quarks
- Fully-dressed NJL propagator

$$S(p)^{\text{NJL}} = \frac{1}{i\gamma \cdot p[A(p^2) = 1] + [B(p^2) = M]} = \frac{-i\gamma \cdot p + M}{p^2 + M^2} \quad (15)$$



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- This is merely a free-particle-like propagator with a shifted mass:

$$p^2 + M^2 = 0 \Rightarrow \text{Minkowski-space mass} = M. \quad (18)$$



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- This is merely a free-particle-like propagator with a shifted mass:

$$p^2 + M^2 = 0 \Rightarrow \text{Minkowski-space mass} = M. \quad (20)$$

- Hence, while **NJL Model** certainly contains DCSB, it **does not exhibit confinement**.



# Munczek-Nemirovsky Model

- Munczek, H.J. and Nemirovsky, A.M. (1983), “The Ground State  $q\bar{q}$  Mass Spectrum In QCD,” *Phys. Rev. D* **28**, 181.

- $\Gamma_{\mu}^a(k, p)_{\text{bare}} = \gamma_{\mu} \frac{\lambda^a}{2};$

$$g^2 D_{\mu\nu}(k) \rightarrow (2\pi)^4 G \delta^4(k) \left[ \delta_{\mu\nu} - \frac{k_{\mu} k_{\nu}}{k^2} \right] \quad (21)$$

Here  $G$  defines the model’s mass-scale.

- $\delta$ -function in momentum space  
cf. NJL, which has  $\delta$ -function in configuration space.

- Gap equation

$$i\gamma \cdot p A(p^2) + B(p^2) = i\gamma \cdot p + m + G \gamma_{\mu} \frac{-i\gamma \cdot p A(p^2) + B(p^2)}{p^2 A^2(p^2) + B^2(p^2)} \gamma_{\mu} \quad (22)$$



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# MN Model's Gap Equation

- The gap equation yields the following two coupled equations (set the mass-scale  $G = 1$ ):

$$A(p^2) = 1 + 2 \frac{A(p^2)}{p^2 A^2(p^2) + B^2(p^2)} \quad (23)$$

$$B(p^2) = m + 4 \frac{B(p^2)}{p^2 A^2(p^2) + B^2(p^2)}, \quad (24)$$

- Consider the chiral limit equation for  $B(p^2)$ :

$$B(p^2) = 4 \frac{B(p^2)}{p^2 A^2(p^2) + B^2(p^2)}. \quad (25)$$

- Obviously,  $B \equiv 0$  is a solution.
- Is there another?



# DCSB in MN Model

- The existence of a  $B \neq 0$  solution; i.e., a solution that dynamically breaks chiral symmetry, requires (in units of  $G$ )

$$p^2 A^2(p^2) + B^2(p^2) = 4. \quad (26)$$

- Substituting this identity into equation Eq. (23), one finds

$$A(p^2) - 1 = \frac{1}{2} A(p^2) \Rightarrow A(p^2) \equiv 2, \quad (27)$$

which in turn entails

$$B(p^2) = 2 \sqrt{1 - p^2}. \quad (28)$$

- Physical requirement: quark self energy is real on the spacelike domain  $\Rightarrow$  complete chiral-limit solution –

$$A(p^2) = \begin{cases} 2; & p^2 \leq 1 \\ \frac{1}{2} \left( 1 + \sqrt{1 + 8/p^2} \right); & p^2 > 1 \end{cases} \quad (29)$$

$$B(p^2) = \begin{cases} \sqrt{1 - p^2}; & p^2 \leq 1 \\ 0; & p^2 > 1. \end{cases} \quad (30)$$

- NB. Dressed-quark self-energy is momentum dependent, as is the case in QCD.



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# Confinement in MN Model

- Solution is continuous and defined for all  $p^2$ , even  $p^2 < 0$ ; namely, **timelike momenta**.
- Examine the propagator's denominator:

$$p^2 A^2(p^2) + B^2(p^2) > 0, \quad \forall p^2. \quad (31)$$

This is positive definite ... there are **no zeros**

- This is nothing like a free-particle propagator. It can be interpreted as describing a **confined** degree-of-freedom
- Note that, in addition there is no critical coupling: the nontrivial solution exists so long as  $\mathbf{G} > 0$ .
- Conjecture: **All confining theories exhibit DCSB**.
  - NJL model demonstrates that converse is not true.





# Massive Solution in MN Model

- In the chirally asymmetric case the gap equation yields

$$A(p^2) = \frac{2 B(p^2)}{m + B(p^2)}, \quad (32)$$

$$B(p^2) = m + \frac{4 [m + B(p^2)]^2}{B(p^2)([m + B(p^2)]^2 + 4p^2)}. \quad (33)$$

- Second is a quartic equation for  $B(p^2)$ .
- Can be solved algebraically with four solutions, available in a closed form.
- Only one has the correct  $p^2 \rightarrow \infty$  limit:  $B(p^2) \rightarrow m$ .
- NB. The equations and their solutions always have a smooth  $m \rightarrow 0$  limit, a result owing to the persistence of the DCSB solution.



# MN Dynamical Mass

$$M(s = p^2) = \frac{B(s)}{A(s)}$$

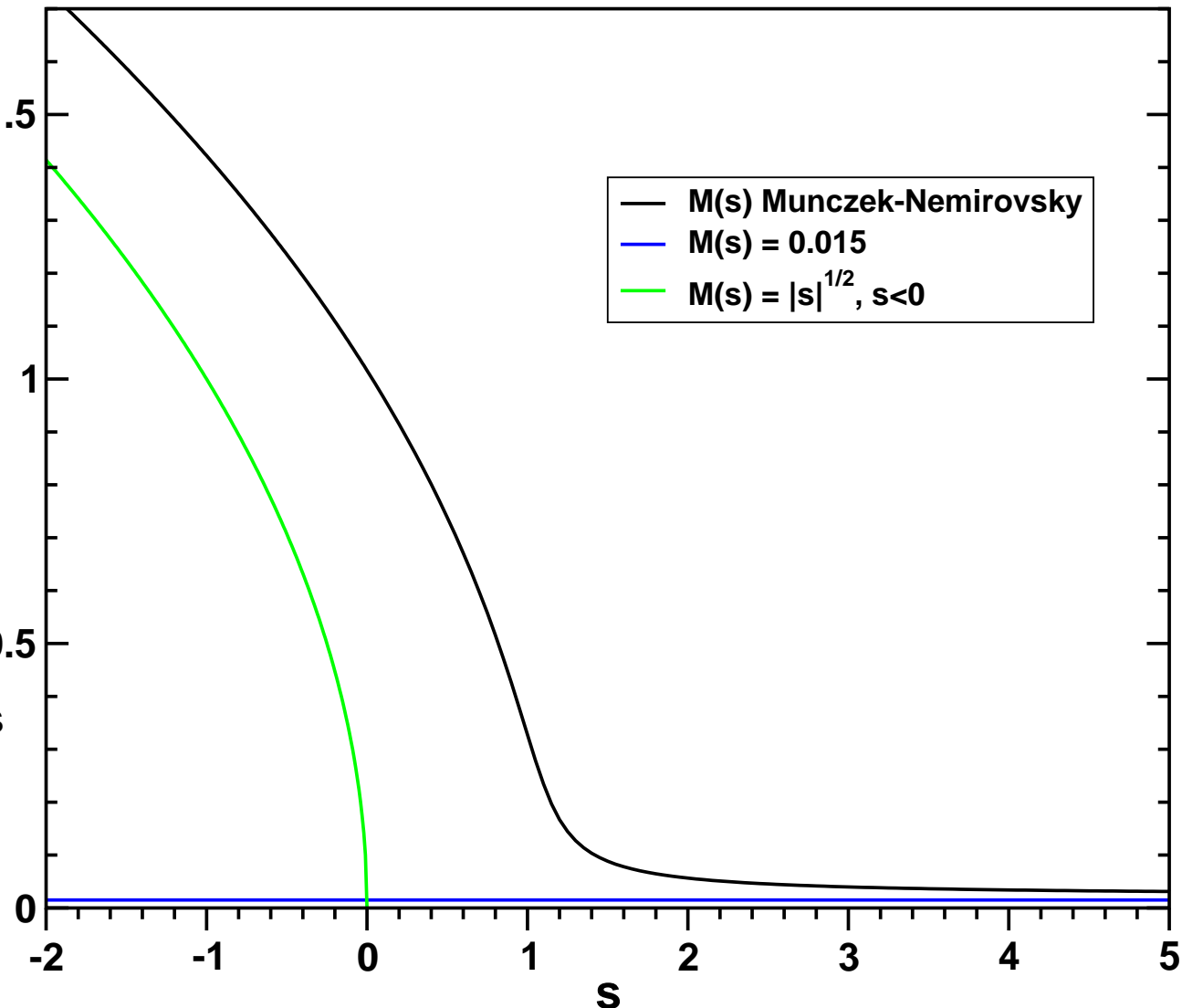
Large  $s$ :  
 $M(s) \sim m_0$

Small  $s$   
 $M \gg m_0$

This is the  
essential  
characteristic  
of DCSB

$p^2$ -dependent  
mass function is  
quintessential  
feature of QCD.

No solution of  
 $s + M(s)^2 = 0$   
**confinement.**



# Real World Alternatives

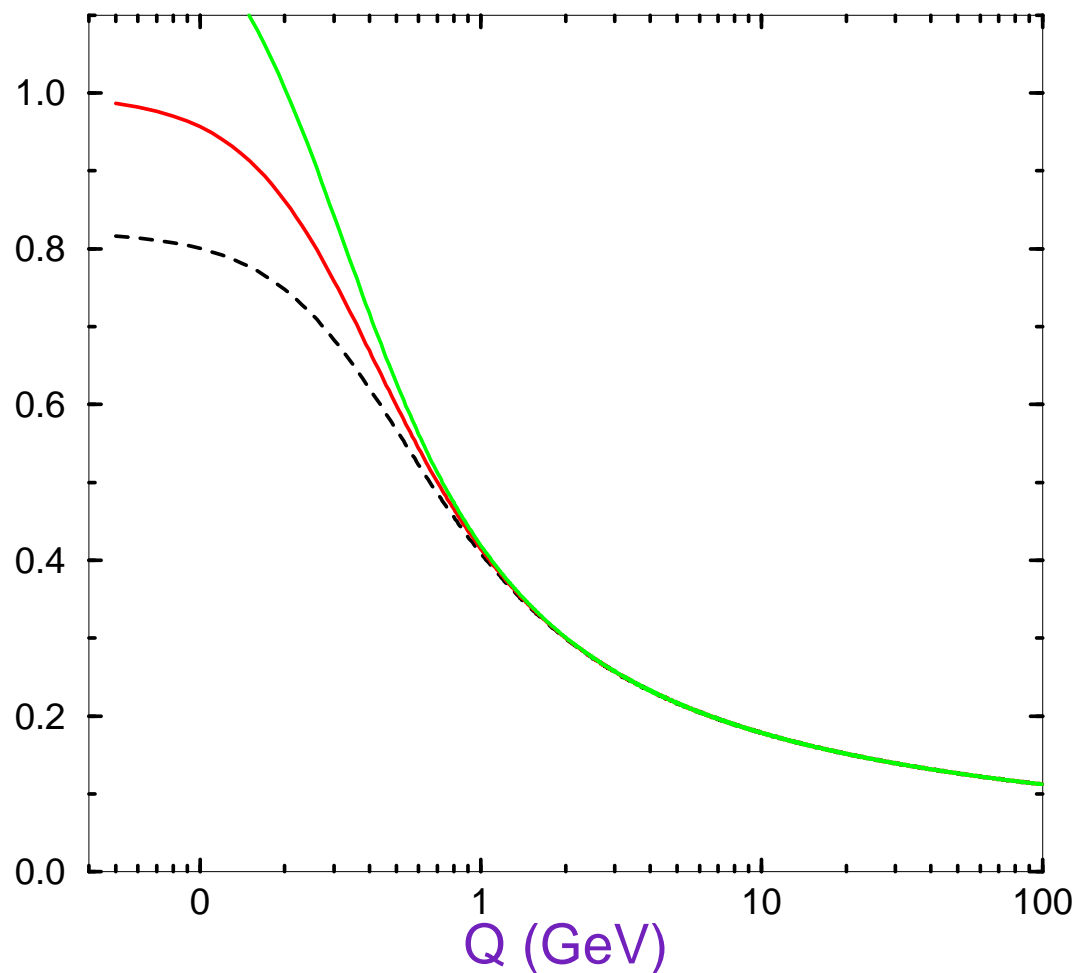
$$g^2 D(Q^2) = 4\pi \frac{G(Q^2)}{Q^2}$$

●  $G(0) < 1$ :  
 $M(s) \equiv 0$  is only  
 solution for  $m = 0$ .

●  $G(0) \geq 1$   
 $M(s) \neq 0$  is  
 possible and  
 energetically  
 favoured: DCSB.

●  $M(0) \neq 0$  is a  
 new, dynamically  
 generated  
 mass-scale. If it is  
 large enough, it  
 can explain how a  
 theory that is

apparently massless (in the Lagrangian) possesses the spectrum of a massive theory.



# Overview

- Confinement and Dynamical Chiral Symmetry Breaking are Key Emergent Phenomena in QCD



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- Understanding requires Nonperturbative Solution of Fully-Fledged Relativistic Quantum Field Theory
  - Mathematics and Physics still far from being able to accomplish that



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- Confinement and DCSB are expressed in QCD's propagators and vertices



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- Confinement and DCSB are expressed in QCD's propagators and vertices
  - Nonperturbative modifications should have observable consequences





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